=> d	his f	ul
	(FILE	'HOME' ENTERED AT 13:21:07 ON 13 JAN 2010)
	FILE	'REGISTRY' ENTERED AT 13:21:15 ON 13 JAN 2010 ACT NGU971G/A
L1 L2 L3		SCR 2049 STR 253 SEA SSS FUL L2 AND L1
	FILE	'LREGISTRY' ENTERED AT 13:21:34 ON 13 JAN 2010 ACT NGU971D/Q
L4		STR
L5		STR L4
L6 L7	FILE	'REGISTRY' ENTERED AT 13:23:42 ON 13 JAN 2010 0 SEA SUB=L3 SSS SAM L5 2 SEA SUB=L3 SSS FUL L5
L8	FILE	'HCAPLUS' ENTERED AT 13:23:56 ON 13 JAN 2010 1 SEA SPE=ON ABB=ON PLU=ON L7 D L8 TI AU
L9	FILE	'LREGISTRY' ENTERED AT 13:43:38 ON 13 JAN 2010 STR L4
L10		'REGISTRY' ENTERED AT 13:46:56 ON 13 JAN 2010 0 SEA SSS SAM L9
L11		'HCAPLUS' ENTERED AT 14:02:36 ON 13 JAN 2010 127 SEA SPE=ON ABB=ON PLU=ON L3
L12		'ZCAPLUS' ENTERED AT 14:03:52 ON 13 JAN 2010 QUE SPE=ON ABB=ON PLU=ON ELECTROLUMINES? OR ELECTRO#(W )LUMINESC?
L13		'HCAPLUS' ENTERED AT 14:04:45 ON 13 JAN 2010 28 SEA SPE=ON ABB=ON PLU=ON L11 AND L12

## FILE HOME

### FILE REGISTRY

Property values tagged with IC are from the ZIC/VINITI data file provided by InfoChem.

STRUCTURE FILE UPDATES: 11 JAN 2010 HIGHEST RN 1201890-95-0
DICTIONARY FILE UPDATES: 11 JAN 2010 HIGHEST RN 1201890-95-0

New CAS Information Use Policies, enter HELP USAGETERMS for details.

TSCA INFORMATION NOW CURRENT THROUGH June 26, 2009.

Please note that search-term pricing does apply when conducting SmartSELECT searches.

REGISTRY includes numerically searchable data for experimental and predicted properties as well as tags indicating availability of experimental property data in the original document. For informatio on property searching in REGISTRY, refer to:

## http://www.cas.org/support/stngen/stndoc/properties.html

### FILE LREGISTRY

LREGISTRY IS A STATIC LEARNING FILE

CAS INFORMATION USE POLICIES, ENTER HELP USAGETERMS FOR DETAILS.

## FILE HCAPLUS

Copyright of the articles to which records in this database refer is held by the publishers listed in the PUBLISHER (PB) field (available for records published or updated in Chemical Abstracts after Decembe 26, 1996), unless otherwise indicated in the original publications. The CA Lexicon is the copyrighted intellectual property of the American Chemical Society and is provided to assist you in searching databases on STN. Any dissemination, distribution, copying, or stor of this information, without the prior written consent of CAS, is strictly prohibited.

FILE COVERS 1907 - 13 Jan 2010 VOL 152 ISS 3
FILE LAST UPDATED: 12 Jan 2010 (20100112/ED)
REVISED CLASS FIELDS (/NCL) LAST RELOADED: Oct 2009
USPTO MANUAL OF CLASSIFICATIONS THESAURUS ISSUE DATE: Oct 2009

HCAplus now includes complete International Patent Classification (I reclassification data for the third quarter of 2009.

CAS Information Use Policies apply and are available at:

## http://www.cas.org/legal/infopolicy.html

This file contains CAS Registry Numbers for easy and accurate substance identification.

### FILE ZCAPLUS

strictly prohibited.

Copyright of the articles to which records in this database refer is held by the publishers listed in the PUBLISHER (PB) field (available for records published or updated in Chemical Abstracts after Decembe 26, 1996), unless otherwise indicated in the original publications. The CA Lexicon is the copyrighted intellectual property of the American Chemical Society and is provided to assist you in searching databases on STN. Any dissemination, distribution, copying, or stor of this information, without the prior written consent of CAS is

FILE COVERS 1907 - 13 Jan 2010 VOL 152 ISS 3
FILE LAST UPDATED: 12 Jan 2010 (20100112/ED)
REVISED CLASS FIELDS (/NCL) LAST RELOADED: Oct 2009
USPTO MANUAL OF CLASSIFICATIONS THESAURUS ISSUE DATE: Oct 2009

ZCAplus now includes complete International Patent Classification (I reclassification data for the third quarter of 2009.

CAS Information Use Policies apply and are available at:

## http://www.cas.org/legal/infopolicy.html

This file contains CAS Registry Numbers for easy and accurate substance identification.

 VAR G1=N/B

REP G2 = (1-4) 12

VAR G3=N/C

NODE ATTRIBUTES:

NSPEC IS RC AT 12 NSPEC IS RC AT 13

NSPEC IS RC AT 15 DEFAULT MLEVEL IS ATOM

DEFAULT ECLEVEL IS LIMITED

GRAPH ATTRIBUTES:

RING(S) ARE ISOLATED OR EMBEDDED

NUMBER OF NODES IS 15

STEREO ATTRIBUTES: NONE

L3 253 SEA FILE=REGISTRY SSS FUL L2 AND L1

100.0% PROCESSED 724604 ITERATIONS

253 ANSWERS

SEARCH TIME: 00.00.05

=> d que stat 17

L1 SCR 2049 L2 STR

9 c - 63 c - 63 c - 62 g - 62 g

A @12 C 13 N 14

REP G2=(1-4) 12 VAR G3=N/C NODE ATTRIBUTES: NSPEC IS RC AT 12 NSPEC IS RC AT 13 NSPEC IS RC AT 13

VAR G1=N/B

NSPEC IS RC AT 15
DEFAULT MLEVEL IS ATOM

DEFAULT ECLEVEL IS LIMITED

4

GRAPH ATTRIBUTES: RING(S) ARE ISOLATED OR EMBEDDED NUMBER OF NODES IS 15

STEREO ATTRIBUTES: NONE

L3 253 SEA FILE=REGISTRY SSS FUL L2 AND L1  $L_5$ STR



VAR G1=N/B NODE ATTRIBUTES: NSPEC IS RC AΤ DEFAULT MLEVEL IS ATOM DEFAULT ECLEVEL IS LIMITED

GRAPH ATTRIBUTES: RING(S) ARE ISOLATED OR EMBEDDED NUMBER OF NODES IS 9

STEREO ATTRIBUTES: NONE

L7 2 SEA FILE=REGISTRY SUB=L3 SSS FUL L5

3 ITERATIONS

SEARCH TIME: 00.00.01

100.0% PROCESSED

=> d 18 bib abs hitstr hitind

1.8 ANSWER 1 OF 1 HCAPLUS COPYRIGHT 2010 ACS on STN

AN 2005:1130744 HCAPLUS Full-text

DN 143:413279

TT Organic electroluminescent device material, organic electroluminescent device and display and illuminating device

IN Oshiyama, Tomohiro; Suzuri, Yoshiyuki; Kita, Hiroshi; Katoh, Eisaku

PA Konica Minolta Holdings, Inc., Japan 2 ANSWERS

```
PCT Int. Appl., 68 pp.
SO
    CODEN: PIXXD2
DT
    Patent
LA
    Japanese
FAN.CNT 1
    PATENT NO.
                       KIND
                                         APPLICATION NO.
                             DATE
                                                                  DATE
     -----
                        ----
                               -----
    WO 2005097940
                        A1 20051020 WO 2005-JP4678
PΙ
                                                                  16
            AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA,
            CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI,
            GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP,
            KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW,
            MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD,
            SE, SG, SK, SL, SM, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US,
            UZ, VC, VN, YU, ZA, ZM, ZW
        RW: BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW,
            AM, AZ, BY, KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ,
            DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, MC,
            NL, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA,
            GN, GQ, GW, ML, MR, NE, SN, TD, TG
                         A1 20061213 EP 2005-720929
    EP 1731584
                                                                  200503
                                                                  16
            AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU,
             IE, IS, IT, LI, LT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR
                        A1 20070823 US 2006-598971
     US 20070196687
                                                                  200609
                                                                  15
PRAI JP 2004-103247
                         Α
                               20040331
```

WO 2005-JP4678 W 20050316
ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT

- AB Disclosed is an organic electroluminescent device material which is a metal complex having a specific ligand. Also disclosed is an organic electroluminescent device using such an organic electroluminescent device material and having high luminous efficiency and long life. Further disclosed are a display and an illuminating device resp. using such an organic electroluminescent device. The organic electroluminescent device by containing a metal complex having a ligand represented by the following general formula I.
- IT 867000-99-5 867001-12-5 RL: DEV (Device component use); MOA (Modifier or additive use); USES (Uses)
  - (organic electroluminescent device material, organic

electroluminescent

device and display and illuminating device)

RN 867000-99-5 HCAPLUS

CN Iridium, tris(6,8-dicyano-5-ethyl-5H-pyrido[3,2-b]indol-9-yl-KC9,KN1)- (9CI) (CA INDEX NAME)

- RN 867001-12-5 HCAPLUS
- CN Platinum, [6,8-dicyano-5-[2-methyl-1,1-bis(1-methylethyl)propyl]-5H-benzoborolo[3,2-b]pyridin-9-yl-KC,KN](2,4-pentanedionato-KO,KO')-, (SP-4-3)- (9CI) (CA INDEX NAME)

IC ICM C09K011-06

ICS H05B033-14; H05B033-22

CC 73-11 (Optical, Electron, and Mass Spectroscopy and Other Related Properties)

Section cross-reference(s): 22, 74

IT 867000-82-6 867000-83-7 867000-84-8 867000-85-9 867000-88-2 867000-89-3 867000-90-6 867000-91-7 867000-92-8 867000-94-0 867000-95-1 867000-90-2 867000-97-3 867000-98-4 867000-99-5 867001-00-1 867001-02-3 867001-02-3

867001-04-5 867001-05-6 867001-06-7 867001-07-8

867001-09-0 867001-11-4 **867001-12-5** 867001-13-6

867001-14-7 867001-15-8 867001-17-0 867001-19-2 867001-23-8

RL: DEV (Device component use); MOA (Modifier or additive use); USES (Uses)

(organic electroluminescent device material, organic

electroluminescent

device and display and illuminating device)

OSC.G 1 THERE ARE 1 CAPLUS RECORDS THAT CITE THIS RECORD (1

CITINGS)

RE.CNT 2 THERE ARE 2 CITED REFERENCES AVAILABLE FOR THIS RECORD ALL CITATIONS AVAILABLE IN THE RE FORMAT

## => d 113 1-28 bib abs fhitstr hitind

- L13 ANSWER 1 OF 28 HCAPLUS COPYRIGHT 2010 ACS on STN
- AN 2009:1018671 HCAPLUS Full-text
- DN 151:289317
- TI Electroluminescent metal complexes with
  - dibenzo[f,h]quinoxalines
- IN Schmidhalter, Beat; Schaefer, Thomas; Murer, Peter; Bardon, Kristina; Allenbach, Stephan; Ricci, Andrea
- PA BASF SE, Germany
- SO PCT Int. Appl., 175pp. CODEN: PIXXD2

867001-08-9

867001-21-6

DT Patent LA English

FAN.CNT 1
PATENT NO. KIND DATE APPLICATION NO. DATE

------PI WO 2009100991 A1 20090820 WO 2009-EP51109

200902

02
W: AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, VC, VN, ZA, ZM, ZW
RW: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG, BW, GH, GM, KE, LS, MW, MZ, NA, SD, SI, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM

PRAI EP 2008-151313 A 20080212

OS MARPAT 151:289317

GI

$$\begin{bmatrix} R^{3} & R^{2} & R^{2} \\ R^{3} & R^{4} & R^{5} & R^{6} & R^{7} & R^{8} \end{bmatrix}_{n} \begin{bmatrix} R^{1} & R^{1$$

9

AB This invention relates to electroluminescent metal complexes I and II (R1, R2, R1' = H, (un) substituted C1-18 alkyl, C1-18 perfluoroalkyl, (un) substituted C5-12 cycloalkyl, (un) substituted C6-24 aryl, (un) substituted C2-20 heteroaryl, etc.; R1R2 = ring; R3, R3', R8, R8' = H, (un) substituted C1-18 alkyl, C1-18 perfluoroalkyl, (un) substituted C6-24 aryl, (un) substituted C2-20 heteroaryl, etc.; R4, R4', R7, R7' = H, (un)substituted C1-18 alkyl, (un)substituted C1-18 perfluoroalkyl, (un) substituted C6-24 aryl, (un) substituted C2-20 heteroaryl, etc.; R5, R5', R6, R6' = H, (un)substituted C1-18 alkyl, C1-18 perfluoroalkyl, (un) substituted C6-24 arvl, (un) substituted C2-20 heteroaryl, etc.; M = Pd, Rh, Re, Pt, Ir; L = mono- or bidentate ligand; m = 0-4; n = 1-3), a process for their preparation, electronic devices comprising the metal complexes and their use in electronic devices, especially organic light emitting diodes (OLEDs), as oxygen sensitive indicators, as phosphorescent indicators in bioassays, and as catalysts. ΙT 1182726-47-1P RL: PRP (Properties): SPN (Synthetic preparation): TEM (Technical or engineered material use); PREP (Preparation); USES (Uses) (preparation and electroluminescence of cyclometalated dibenzoquinoxaline iridium complexes) RN 1182726-47-1 HCAPLUS CN Iridium, bis[7,10-di-9H-carbazol-9-yl-2-[4-

PAGE 1-A

PAGE 2-A

- CC 29-13 (Organometallic and Organometalloidal Compounds) Section cross-reference(s): 9, 73
- ST cyclometalated dibenzoquinoxaline complex prepn electroluminescence; OLED oxygen sensitive indicator cyclometalated dibenzoquinoxaline iridium complex prepn; phosphorescent indicator bioassay cyclometalated dibenzoquinoxaline iridium complex prepn
- IT Metalation

ΙT

ΤТ

ΙT

ΙT

ΙT

TТ

```
(cyclometalation; preparation and electroluminescence of
        cyclometalated dibenzoguinoxaline iridium complexes)
IT Electroluminescent devices
        (organic; preparation and electroluminescence of cyclometalated
       dibenzoguinoxaline iridium complexes)
    Bioassay
      Electroluminescence
    Fluorescent indicators
    Hole transport
    Phosphorescence
    Semiconductor devices
        (preparation and electroluminescence of cyclometalated
       dibenzoquinoxaline iridium complexes)
    1182724-98-6P
    RL: PRP (Properties); SPN (Synthetic preparation); TEM (Technical or
    engineered material use); PREP (Preparation); USES (Uses)
        (11; preparation and electroluminescence of cyclometalated
       dibenzoquinoxaline iridium complexes)
    603-34-9
               1484-96-4
                           2085-33-8, Alg3
                                            17457-88-4
                                                          53332-49-3,
    1-Phenyl-3-[p-(diethylamino)styryl]-5-[p-
     (diethylamino)phenyl]pyrazoline
                                     58473-78-2
                                                 65181-78-4
    68189-23-1, p-(Diethylamino)benzaldehydediphenylhydrazone
    70895-80-6, Bis[4-(N,N-diethylamino)-2-methylphenyl](4-
    methylphenyl)methane 76185-65-4
                                        78099-29-3
                                                     115310-63-9
    123847-85-8, α-NPD 129764-80-3
                                       146162-54-1, BAlq
    RL: PRP (Properties); TEM (Technical or engineered material use);
    USES (Uses)
        (hole transport layer comprising; preparation and
       electroluminescence of cyclometalated dibenzoquinoxaline
        iridium complexes)
    7782-44-7, Oxygen, processes
    RL: BCP (Biochemical process); BIOL (Biological study); PROC
     (Process)
        (preparation and electroluminescence of cyclometalated
       dibenzoquinoxaline iridium complexes)
    13716-12-6, Tri-tert-butylphosphine
                                         657408-07-6,
    2-Dicyclohexylphosphino-2',6'-dimethoxybiphenyl
    RL: CAT (Catalyst use); USES (Uses)
        (preparation and electroluminescence of cyclometalated
       dibenzoquinoxaline iridium complexes)
    1034343-88-8P
                   1182724-29-3P 1182724-30-6P
                                                    1182724-31-7P
    1182724-32-8P 1182724-33-9P 1182724-34-0P
                                                   1182724-35-1P
    1182724-36-2P 1182724-37-3P 1182724-38-4P 1182724-39-5P
    1182724-40-8P 1182724-41-9P 1182724-42-0P
                                                   1182724-43-1P
    1182724-44-2P 1182724-45-3P 1182724-46-4P 1182724-47-5P
    1182724-48-6P 1182724-49-7P 1182724-49-7P 1182724-50-0P
    1182724-51-1P 1182724-52-2P 1182724-53-3P 1182724-54-4P
```

1182724-55-5P	1182724-56-6P	1182724-57-7P	1182724-58-8P
1182724-59-9P	1182724-60-2P	1182724-61-3P	1182724-62-4P
1182724-63-5P	1182724-64-6P	1182724-65-7P	1182724-68-0P
1182724-69-1P	1182724-70-4P	1182724-71-5P	1182724-72-6P
1182724-73-7P	1182724-74-8P	1182724-75-9P	1182724-76-0P
1182724-77-1P	1182724-78-2P	1182724-79-3P	1182724-80-6P
1182724-81-7P	1182724-91-9P	1182724-92-0P	1182724-93-1P
1182724-94-2P	1182724-95-3P	1182724 92 01 1182724-96-4P	1182724-97-5P
1182724-99-7P	1182725-00-3P	1182725-01-4P	1182725-02-5P
1182725-03-6P	1182725-04-7P	1182725-05-8P	1182725-06-9P
1182725-07-0P	1182725-08-1P	1182725-09-2P	1182725-10-5P
1182725-11-6P	1182725-13-8P	1182725-14-9P	1182725-15-0P
1182725-16-1P	1182725-17-2P	1182725-18-3P	1182725-19-4P
1182725-20-7P	1182725-22-9P	1182725-23-0P	1182725-24-1P
1182725-25-2P	1182725-26-3P	1182725-27-4P	1182725-28-5P
1182725-29-6P	1182725-30-9P	1182725-31-0P	1182725-32-1P
1182725-33-2P	1182725-34-3P	1182725-35-4P	1182725-36-5P
1182725-37-6P	1182725-39-8P	1182725-40-1P	1182725-41-2P
1182725-42-3P	1182725-43-4P	1182725-44-5P	1182725-45-6P
1182725-46-7P	1182725-47-8P	1182725-48-9P	1182725-49-0P
1182725-50-3P	1182725-51-4P	1182725-52-5P	1182725-53-6P
1182725-54-7P	1182725-55-8P	1182725-56-9P	1182725-57-0P
1182725-58-1P	1182725-59-2P	1182725-60-5P	1182725-61-6P
1182725-62-7P	1182725-63-8P	1182725-64-9P	1182725-65-0P
1182725-66-1P	1182725-67-2P	1182725-68-3P	1182725-69-4P
1182725-70-7P	1182725-71-8P	1182725-72-9P	1182725-73-0P
1182725-74-1P	1182725-75-2P	1182725-76-3P	1182725-77-4P
1182725-79-6P	1182725-80-9P	1182725-81-0P	1182725-82-1P
1182725-83-2P	1182725-84-3P	1182725-85-4P	1182725-86-5P
1182725-87-6P	1182725-88-7P	1182725-89-8P	1182725-90-1P
1182725-91-2P	1182725-92-3P	1182725-93-4P	1182725-94-5P
1182725-95-6P	1182725-96-7P	1182725-97-8P	1182725-98-9P
1182725-99-0P	1182726-00-6P	1182726-01-7P	1182726-02-8P
1182726-03-9P	1182726-04-0P	1182726-05-1P	1182726-06-2P
1182726-07-3P	1182726-08-4P	1182726-09-5P	1182726-10-8P
1182726-11-9P	1182726-12-0P	1182726-13-1P	1182726-17-5P
1182726-20-0P	1182726-22-2P	1182726-24-4P	1182726-25-5P
1182726-26-6P	1182726-27-7P	1182726-28-8P	1182726-29-9P
1182726-30-2P	1182726-31-3P	1182726-32-4P	1182726-33-5P
1182726-34-6P	1182726-35-7P	1182726-36-8P	1182726-37-9P
1182726-38-0P	1182726-39-1P	1182726-40-4P	1182726-41-5P
1182726-42-6P	1182726-43-7P	1182726-44-8P	1182726-45-9P
1182726-46-0P	1182726-47-1P	1182726-48-2P	
1182726-49-3P	1182726-50-6P		
		1100706 E4 00	
1182726-51-7P	1182726-53-9P	1182726-54-0P	
1182726-55-1P	1182726-56-2P	1182726-57-3P	1182726-58-4P
1182726-59-5P	1182726-60-8P	1182726-61-9P	1182726-62-0P

ΙT

```
1182726-63-1P
               1182726-64-2P
                               1182726-65-3P
                                               1182726-67-5P
1182726-68-6P
               1182726-69-7P
                              1182726-71-1P
                                               1182726-72-2P
               1182726-74-4P
1182726-73-3P
                               1182726-75-5P
                                               1182726-76-6P
1182726-77-7P 1182726-78-8P 1182726-79-9P
                                              1182726-80-2P
              1182726-83-5P 1182726-84-6P
1182726-82-4P
                                               1182726-85-7P
1182726-86-8P 1182726-87-9P 1182726-88-0P
RL: PRP (Properties); SPN (Synthetic preparation); TEM (Technical or
engineered material use); PREP (Preparation); USES (Uses)
   (preparation and electroluminescence of cyclometalated
   dibenzoquinoxaline iridium complexes)
1182726-89-1P
               1182726-90-4P
                               1182726-91-5P
                                               1182726-92-6P
1182726-93-7P
               1182726-94-8P
                               1182726-95-9P
                                               1182726-96-0P
1182726-97-1P
               1182726-98-2P
                               1182726-99-3P
                                               1182727-01-0P
                                               1182727-06-5P
1182727-02-1P
               1182727-03-2P 1182727-04-3P
1182727-07-6P
               1182727-08-7P
                             1182727-09-8P
                                               1182727-10-1P
1182727-11-2P
               1182727-12-3P
                              1182727-14-5P
                                               1182727-15-6P
1182727-16-7P
               1182727-17-8P
                               1182727-18-9P
                                               1182727-19-0P
1182727-20-3P
               1182727-21-4P
                              1182727-22-5P
                                               1182727-24-7P
               1182727-26-9P
                              1182727-27-0P
                                               1182727-28-1P
1182727-25-8P
1182727-29-2P
               1182727-30-5P
                              1182727-31-6P
                                              1182727-32-7P
1182727-33-8P
               1182727-34-9P
                              1182727-35-0P
                                              1182727-36-1P
1182727-37-2P
               1182727-38-3P
                               1182727-39-4P
                                               1182727-40-7P
1182727-41-8P
               1182727-42-9P
                               1182727-45-2P
                                               1182727-49-6P
1182727-50-9P
               1182727-51-0P
                               1182727-52-1P
                                               1182727-53-2P
1182727-54-3P
               1182727-55-4P
                              1182727-56-5P
                                              1182727-57-6P
1182727-58-7P
               1182727-59-8P
                               1182727-60-1P
                                               1182727-61-2P
1182727-62-3P
               1182727-63-4P
                               1182727-64-5P
                                               1182727-65-6P
1182727-66-7P
               1182727-67-8P
                              1182727-68-9P
                                              1182727-69-0P
1182727-70-3P
               1182727-71-4P
                               1182727-72-5P
                                               1182727-73-6P
1182727-74-7P
               1182727-75-8P
                               1182727-76-9P
                                               1182727-77-0P
1182727-78-1P
               1182727-79-2P
                               1182727-80-5P
                                               1182727-81-6P
1182727-82-7P
               1182727-83-8P
                              1182727-84-9P
                                               1182727-85-0P
1182727-86-1P
               1182727-87-2P
                               1182727-88-3P
                                               1182727-89-4P
1182727-90-7P
               1182727-91-8P
                               1182727-92-9P
                                               1182727-93-0P
1182727-94-1P
               1182727-95-2P
                               1182727-96-3P
                                               1182727-97-4P
1182727-98-5P
               1182727-99-6P
                               1182728-00-2P
                                               1182728-02-4P
                               1182728-07-9P
1182728-04-6P
               1182728-06-8P
                                               1182728-09-1P
1182728-11-5P
               1182728-13-7P
                               1182728-15-9P
                                               1182728-17-1P
1182728-19-3P
               1182728-21-7P
                               1182728-23-9P
                                               1182728-25-1P
1182728-27-3P
               1182728-29-5P
                               1182728-32-0P
                                               1182728-34-2P
1182728-35-3P
               1182728-37-5P
                               1182728-39-7P
1182728-41-1P
               1182728-43-3P
1182728-46-6P
               1182728-49-9P
1182728-51-3P
               1182728-53-5P
                               1182728-55-7P
1182728-57-9P
               1182728-59-1P
                               1182728-61-5P
                                              1182728-65-9P
1182728-67-1P
               1182728-69-3P
                              1182728-71-7P
                                              1182728-73-9P
1182728-75-1P
               1182728-78-4P
                               1182728-80-8P
                                               1182728-82-0P
```

```
1182728-84-2P
                1182728-86-4P
                                1182728-88-6P
                                                1182728-91-1P
1182728-93-3P
                1182728-95-5P
                                1182728-98-8P
                                                1182729-01-6P
1182729-04-9P
                1182729-07-2P
                                1182729-09-4P
                                                1182729-12-9P
1182729-13-0P
                1182729-14-1P
                                1182729-15-2P
                                                1182729-16-3P
1182729-17-4P
                1182729-18-5P
                                1182729-19-6P
                                                1182729-20-9P
1182729-21-0P
                1182729-22-1P
                                1182729-23-2P
                                               1182729-24-3P
1182729-25-4P
                1182729-26-5P
                                1182729-27-6P
                                               1182729-28-7P
1182729-29-8P
                1182729-30-1P
                                1182729-31-2P
                                                1182729-32-3P
1182729-33-4P
                1182729-34-5P
                                1182729-35-6P
                                                1182729-36-7P
                                1182729-39-0P
                                                1182729-40-3P
1182729-37-8P
                1182729-38-9P
1182729-41-4P
                1182729-42-5P
                               1182729-43-6P
                                               1182729-44-7P
1182729-45-8P
                1182729-46-9P
                                1182729-47-0P
                                                1182729-48-1P
1182729-49-2P
                1182729-51-6P
                                1182729-52-7P
                                                1182729-53-8P
                1182729-55-0P
                                                1182729-57-2P
1182729-54-9P
                                1182729-56-1P
1182729-58-3P
                1182729-59-4P
                                1182729-60-7P
                                                1182729-61-8P
1182729-62-9P
                1182729-63-0P
                                1182729-64-1P
                                                1182729-65-2P
1182729-66-3P
                1182729-67-4P
                                1182729-68-5P
                                                1182729-69-6P
1182729-70-9P
                1182729-71-0P
                                1182729-72-1P
                                                1182729-73-2P
1182729-74-3P
                1182729-75-4P
                                1182729-76-5P
                                                1182729-77-6P
1182729-78-7P
                1182729-79-8P
                               1182729-80-1P
1182729-81-2P
                1182729-82-3P
                               1182729-83-4P
1182729-84-5P
              1182729-85-6P 1182729-86-7P
                                               1182729-87-8P
1182729-88-9P
               1182729-90-3P
                               1182729-91-4P
                                               1182729-92-5P
1182729-93-6P
               1182729-94-7P
                              1182729-95-8P
RL: PRP (Properties); SPN (Synthetic preparation); TEM (Technical or
engineered material use); PREP (Preparation); USES (Uses)
   (preparation and electroluminescence of cyclometalated
   dibenzoquinoxaline iridium complexes)
1182729-96-9P
                1182729-97-0P
                                1182729-98-1P
                                                1182729-99-2P
1182730-00-2P
                1182730-01-3P
                                1182730-02-4P
                                               1182730-03-5P
1182730-04-6P
                1182730-05-7P
                                1182730-06-8P
                                                1182730-07-9P
                               1182730-10-4P
1182730-08-0P
                1182730-09-1P
                                                1182730-11-5P
1182730-12-6P
                1182730-13-7P
                                1182730-14-8P
                                                1182730-15-9P
                1182730-17-1P
                               1182730-18-2P
1182730-16-0P
                                               1182730-19-3P
1182730-20-6P
                1182730-21-7P
                               1182730-22-8P
                                               1182730-23-9P
1182730-24-0P
                1182730-25-1P
                               1182730-26-2P
                                                1182730-27-3P
1182730-28-4P
                1182730-29-5P
                               1182730-30-8P
                                               1182730-31-9P
1182730-32-0P
                1182730-33-1P
                               1182730-34-2P
                                               1182730-35-3P
1182730-36-4P
                1182730-37-5P
                               1182730-38-6P
                                               1182730-39-7P
1182730-40-0P
                1182730-41-1P
                                1182730-42-2P
                                                1182730-43-3P
1182730-44-4P
                1182730-45-5P
                               1182730-46-6P
                                               1182730-47-7P
1182730-48-8P
                1182730-49-9P
                               1182730-50-2P
                                               1182730-51-3P
1182730-52-4P
                1182730-53-5P
                                1182730-54-6P
                                                1182730-55-7P
1182730-56-8P
                1182730-57-9P
                                1182730-58-0P
                                                1182730-59-1P
1182730-60-4P
                1182730-61-5P
                               1182730-62-6P
1182730-63-7P
                1182730-64-8P
1182730-65-9P
                1182730-66-0P
                               1182730-67-1P
```

ΙT

```
1182730-68-2P
                1182730-69-3P
                                1182730-70-6P
                                                1182730-71-7P
1182730-72-8P
                1182730-73-9P
                                1182730-74-0P
                                                1182730-75-1P
1182730-78-4P
                1182730-80-8P
                                1182730-82-0P
                                                1182730-83-1P
1182730-84-2P
                1182730-85-3P
                                1182730-86-4P
                                                1182730-87-5P
1182730-88-6P
                1182730-89-7P
                                1182730-90-0P
                                                1182730-91-1P
1182730-92-2P
                1182730-93-3P
                                1182730-94-4P
1182730-95-5P
                1182730-96-6P
1182730-97-7P
                1182730-98-8P
                                1182730-99-9P
1182731-00-5P
                1182731-01-6P
                                1182731-02-7P
1182731-03-8P
                1182731-04-9P
                                1182731-05-0P
1182731-06-1P
                1182731-07-2P
                                1182731-08-3P
                                                1182731-09-4P
1182731-10-7P
                1182731-11-8P
                                1182731-12-9P
                                                1182731-13-0P
1182731-14-1P
                1182731-15-2P
                                1182731-16-3P
                                                1182731-17-4P
                1182731-19-6P
                                1182731-20-9P
                                                1182731-21-0P
1182731-18-5P
1182731-22-1P
                1182731-24-3P
                                1182731-25-4P
                                                1182731-26-5P
1182731-27-6P
                1182731-28-7P
                                1182731-29-8P
                                                1182731-30-1P
1182731-31-2P
                1182731-32-3P
                                1182731-33-4P
                                                1182731-34-5P
1182731-36-7P
                1182731-38-9P
                                1182731-40-3P
                                                1182731-42-5P
1182731-44-7P
                1182731-45-8P
                                1182731-46-9P
                                                1182731-47-0P
1182731-48-1P
                1182731-49-2P
                                1182731-50-5P
                                                1182731-51-6P
1182731-52-7P
                1182731-53-8P
                                1182731-54-9P
                                                1182731-55-0P
1182731-56-1P
                1182731-57-2P
                                1182731-58-3P
                                                1182731-59-4P
1182731-60-7P
                1182731-61-8P
                                1182731-62-9P
                                                1182731-63-0P
1182731-64-1P
                1182731-65-2P
                                1182731-66-3P
                                                1182731-67-4P
1182731-68-5P
                1182731-69-6P
                                1182731-70-9P
                                                1182731-71-0P
1182731-72-1P
                1182731-73-2P
                                1182731-74-3P
                                                1182731-75-4P
1182731-76-5P
                1182731-77-6P
                                1182731-78-7P
                                                1182731-79-8P
1182731-80-1P
                1182731-81-2P
                                1182731-82-3P
                                                1182731-83-4P
1182731-84-5P
                1182731-85-6P
                                1182731-86-7P
                                                1182731-87-8P
1182731-88-9P
                1182731-89-0P
                                1182731-90-3P
1182731-91-4P
                1182731-92-5P
                                1182731-93-6P
                                                1182731-94-7P
1182731-95-8P
                1182731-96-9P
                                1182731-98-1P
                                                1182731-99-2P
1182732-00-8P
                1182732-01-9P
                                1182732-02-0P
                                                1182732-03-1P
1182732-04-2P
                1182732-05-3P
                                1182732-06-4P
                                                1182732-07-5P
1182732-08-6P
                1182732-09-7P
                                1182732-10-0P
                                                1182732-11-1P
1182732-12-2P
                1182732-13-3P
                                1182732-14-4P
                                                1182732-15-5P
1182732-16-6P
                1182732-17-7P
                                1182732-18-8P
                                                1182732-19-9P
1182732-20-2P
                1182732-21-3P
                                1182732-22-4P
                                                1182732-23-5P
1182732-24-6P
                1182732-25-7P
                                1182732-26-8P
                                                1182732-27-9P
1182732-28-0P
                1182732-29-1P
                                1182732-30-4P
                                                1182732-31-5P
1182732-32-6P
                1182732-33-7P
                                1182732-34-8P
                                                1182732-35-9P
1182732-36-0P
                1182732-37-1P
                                1182732-38-2P
                                                1182732-39-3P
1182732-40-6P
                1182732-41-7P
```

RL: PRP (Properties); SPN (Synthetic preparation); TEM (Technical or engineered material use); PREP (Preparation); USES (Uses)

(preparation and electroluminescence of cyclometalated dibenzoquinoxaline iridium complexes)

```
IΤ
    1182732-42-8P
                    1182732-43-9P
                                   1182732-44-0P
                                                   1182732-45-1P
    1182732-46-2P
                   1182732-47-3P
                                  1182732-48-4P
                                                   1182732-49-5P
    1182732-50-8P
                   1182732-51-9P
                                  1182732-52-0P
                                                   1182732-53-1P
    1182732-54-2P
                   1182732-55-3P
                                  1182732-56-4P 1182732-58-6P
    1182732-60-0P
                    1182732-62-2P
                                   1182732-63-3P
                                                   1182732-64-4P
    1182732-65-5P
                   1182732-66-6P
                                   1182732-67-7P
                                                   1182732-68-8P
    1182732-69-9P
                   1182732-70-2P
                                   1182732-71-3P
    1182732-72-4P
                   1182732-73-5P
    1182732-74-6P
                   1182732-75-7P
                                   1182732-76-8P
    1182732-77-9P
                   1182732-78-0P
                                   1182732-79-1P
                                                   1182732-80-4P
    1182732-81-5P
                  1182732-82-6P 1182732-83-7P
                                                  1182732-84-8P
                   1182735-60-9P 1182735-61-0P
    1182732-85-9P
                                                   1182735-62-1P
    1182735-63-2P
                    1182735-64-3P 1182735-65-4P
    1182735-66-5P
    RL: PRP (Properties); SPN (Synthetic preparation); TEM (Technical or
    engineered material use); PREP (Preparation); USES (Uses)
       (preparation and electroluminescence of cyclometalated
       dibenzoquinoxaline iridium complexes)
    78-90-0, 1,2-Diaminopropane 84-11-7, 9,10-Phenanthrenedione
ΙT
    98-80-6, Phenylboronic acid 107-15-3, 1,2-Diaminoethane, reactions
    122-39-4, Diphenylamine, reactions 694-83-7,
    1.2-Diaminocyclohexane
                            1118-71-4.
    2,2,6,6-Tetramethyl-3,5-heptanedione 1765-93-1,
    4-Fluorophenylboronic acid 13067-81-7, 2-Ethylhexyllithium
    22237-13-4, 4-Ethoxyphenylboronic acid 73852-19-4,
    3,5-Bis(trifluoromethyl)phenylboronic acid 128796-39-4,
    4-(Trifluoromethyl)phenylboronic acid
    RL: RCT (Reactant); RACT (Reactant or reagent)
       (preparation and electroluminescence of cyclometalated
       dibenzoquinoxaline iridium complexes)
    217-68-5P, Dibenzo[f,h]quinoxaline 1493-23-8P 2786-01-8P
ΙT
    4541-70-2P
                13292-05-2P
                              14474-59-0P, 1-Lithionaphthalene
    52866-85-0P
                  53348-05-3P 170800-33-6P
                                              194292-05-2P
    536753-86-3P 693258-37-6P
                                 859798-47-3P
                                                910124-83-3P
                  1182724-05-5P 1182724-06-6P
    1012836-44-0P
                                                 1182724-07-7P
    1182724-09-9P
                  1182724-10-2P 1182724-11-3P
                                                   1182724-12-4P
    1182724-13-5P
                  1182724-15-7P 1182724-16-8P 1182724-17-9P
    1182724-18-0P
                  1182724-19-1P 1182724-20-4P 1182724-21-5P
    1182724-22-6P 1182724-23-7P 1182724-24-8P 1182724-25-9P
                                                  1182724-66-8P
    1182724-26-0P
                   1182724-27-1P 1182724-28-2P
    1182724-67-9P
                  1182724-82-8P 1182724-83-9P
                                                  1182724-84-0P
    1182724-85-1P 1182724-86-2P 1182724-87-3P
                                                  1182724-89-5P
    1182724-90-8P
    RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation);
    RACT (Reactant or reagent)
       (preparation and electroluminescence of cyclometalated
```

dibenzoquinoxaline iridium complexes)

17

#### RE.CNT 8 THERE ARE 8 CITED REFERENCES AVAILABLE FOR THIS RECORD ALL CITATIONS AVAILABLE IN THE RE FORMAT

- L13 ANSWER 2 OF 28 HCAPLUS COPYRIGHT 2010 ACS on STN
- AN 2009:777366 HCAPLUS Full-text
- DN 151:185140
- TΙ Organic white light emitting material using organometallic zinc complex of 8-hydroxyquinoline, and preparation method thereof
- Xu, Bingshe; Wei, Fangfang; Wang, Hua; Xu, Huixia; Fang, Xiaohong; TN Hao, Yuying; Chen, Liuging
- Taiyuan University of Technology, Peop. Rep. China PA
- SO Faming Zhuanli Shenging Gongkai Shuomingshu, 30pp. CODEN: CNXXEV
- DT Patent
- LA Chinese

FAN CNT 1

PAN.	PATENT NO.		DATE	APPLICATION NO.	DATE	
PI	CN 101463253	A	20090624	CN 2009-10073653	200901	
PRAI OS	CN 2009-10073653 CASREACT 151:185140		20090112		12	

- \* STRUCTURE DIAGRAM TOO LARGE FOR DISPLAY AVAILABLE VIA OFFLINE PRINT \*
- The title organic white light emitting material Zn(RCz-4CN-Q)2 AB (bis{5-{3,6-bis[2-(3,3-dicvanomethylene-5,5-dimethyl-1cyclohexenyl)vinyl]-N-(6-hexyl)carbazolyl}-8-hydroxyquinoline}zinc) is shown as I. The material uses DCDC group, 5-substituted 8hydroxyquinoline zinc group and carbazolyl group as red, green and blue light-emitting groups, and has spectral bandwidth of 182.4 nm and color coordinate (0.3177, 0.3946). The material can emit white light and can be used in organic white light emitting diode as single light-emitting layer. The material can improve luminous efficiency, stabilize light color, lower starting voltage and simplify fabrication process. Preparation method of the organic white light emitting material is also provided.
- IΤ 1173241-48-9P
  - RL: IMF (Industrial manufacture); PRP (Properties); TEM (Technical or engineered material use); PREP (Preparation); USES (Uses) (organic white light emitting material using organic zinc complex

of

8-hydroxyquinoline, and preparation method thereof)

RN 1173241-48-9 HCAPLUS

CN Zinc, bis[[2,2'-[[9-[6-[8-(hydroxy-KO)-5-quinolinyl-KN]hexyl]-9H-carbazole-3,6-diyl]bis[2,1-ethenediyl(5,5-dimethyl-2-cyclohexen-3-yl-1-ylidene)]]bis[propanedinitrilato]](1-)]
(SP-4-1)- (CA INDEX NAME)

PAGE 1-A

PAGE 3-A

- CC 73-10 (Optical, Electron, and Mass Spectroscopy and Other Related Properties)
  - Section cross-reference(s): 27, 78
- IT Luminescent substances

(electroluminescent, organic; organic white light emitting material using organic zinc complex of 8-hydroxyquinoline, and preparation method thereof)

- IT Electroluminescent devices
- Light

(white; organic white light emitting material using organic zinc complex of 8-hydroxyquinoline, and preparation method thereof)

IT 1173241-48-9P

RL: IMF (Industrial manufacture); PRF (Properties); TEM (Technical or engineered material use); PREP (Preparation); USES (Uses) (organic white light emitting material using organic zinc complex

- of 8-hydroxyquinoline, and preparation method thereof)
- L13 ANSWER 3 OF 28 HCAPLUS COPYRIGHT 2010 ACS on STN
- AN 2009:709941 HCAPLUS Full-text
- DN 151:66717
- TI Iridium complex containing carbazole-substituted pyridine and phenyl derivatives as main ligand and organic light-emitting diodes containing the same
- IN Chung, Kwang Choon; Cho, Hyun Nam; Lee, Jae Wook; Jin, Sung-Ho; Yoo, Ji Hoon; Kim, Jung Hwan
- PA Inktec Co., Ltd., S. Korea; Dong-A University Research Foundation for Industry-Academy Cooperation
- SO PCT Int. Appl., 63pp.
- CODEN: PIXXD2
- DT Patent
- LA English
- FAN.CNT 1

	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE	
PI	WO 2009072821	A2	20090611	WO 2008-KR7167		

200812

04

```
WO 2009072821
                         A3
                                20090723
             AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY,
             BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE,
             EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN,
             IS, JP, KE, KG, KM, KN, KP, KZ, LA, LC, LK, LR, LS, LT, LU,
             LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO,
             NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL,
             SM, ST, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC,
             VN, ZA, ZM, ZW
         RW: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR,
             HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, NO, PL, PT, RO, SE,
             SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GO, GW, ML, MR,
             NE, SN, TD, TG, BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ,
             TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM, AP, EA,
     KR 2009059525
                          А
                                20090611
                                          KR 2007-126418
                                                                   200712
                                                                   06
     KR 905951
                         В1
                               20090706
PRAI KR 2007-126418
                         A
                               20071206
```

- \* STRUCTURE DIAGRAM TOO LARGE FOR DISPLAY AVAILABLE VIA OFFLINE PRINT \*
- The present invention relates to a novel Ir complex I [R1-8 = H, AB linear or branched saturated or unsatd. C1-20 alkyl with or without halo substituent(s), C3-12 cycloalkyl, or C3-12 cycloalkyl C1-20 alkyl; X1 = H, halo, cyano, linear or branched, saturated or unsatd. C1-20 alkvl, C1-20 alkoxv, tri-C1-20 alkvlsilvl, tri-C5-20 arvlsilvl, C3-12 cycloalkyl or C5-20 aryl and the alkyl, alkoxy, alkoxy or aryl of X1 may be further substituted by one or more H. C1-20 alkylsilyl. C5-20 arylsily1, mono- or di-C1-20 alkylamino or amino; m = integer 1 - 4]. When the Ir complex according to the present invention is applied to an organic light-emitting diode, the heat-resistance property and the light-emitting property can be significantly improved as well as the light-emitting efficiency and the like can be significantly improved by doping the Ir complex compound into the light-emitting layer as compared to the conventional organic lightemitting diode.
- TΤ 1160682-13-2

MARPAT 151:66717

OS GI

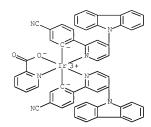
> RL: TEM (Technical or engineered material use); USES (Uses) (iridium complex containing carbazole-substituted pyridine and Ph derivs, as main ligand and organic light-emitting diodes

containing the

same)

RN 1160682-13-2 HCAPLUS

CN Iridium, bis[2-[4-(9H-carbazol-9-yl)-2-pyridinyl-kN]-5cyanophenyl-kC](2-pyridinecarboxylato-kN1,kO2)-(CA INDEX NAME)



- CC 73-11 (Optical, Electron, and Mass Spectroscopy and Other Related Properties)
- ST iridium carbazole pyridine phenyl electrophosphorescence electroluminescent device
- IT Electroluminescent devices

(iridium complex containing carbazole-substituted pyridine and Ph derivs. as main ligand and organic light-emitting diodes

containing the

same)

- IT 1160682-08-5 1160682-09-6 1160682-10-9 1160682-11-0
  - 1160682-12-1 1160682-13-2 1160682-14-3
  - RL: TEM (Technical or engineered material use); USES (Uses)

(iridium complex containing carbazole-substituted pyridine and Ph derivs. as main ligand and organic light-emitting diodes

containing the

same)

- L13 ANSWER 4 OF 28 HCAPLUS COPYRIGHT 2010 ACS on STN
- AN 2009:552593 HCAPLUS Full-text
- DN 150:526739
- TI Organic **electroluminescent** materials, organic **electroluminescent** devices, display devices, and

illumination apparatus

- IN Ikemizu, Hiroshi; Nishizeki, Masato; Oshiyama, Tomohiro; Kato, Eisaku: Kita, Hiroshi
- PA Konica Minolta Holdings, Inc., Japan

SO Jpn. Kokai Tokkvo Koho, 103pp.

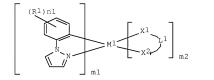
CODEN: JKXXAF Patent

DT

LA Japanese

FAN.CNT 1										
	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE					
PI	JP 2009096861	A	20090507	JP 2007-268819						
					200710					
					16					
	JP 2007-268819		20071016							
OS	MARPAT 150:526739									

GI



AB Organic electroluminescent materials containing metal complex I (R1-4, R11, R12 = H, substitution group; n1, n 12 = integer of 1-4; R1 and/or R2 = 01; X1L1X2 = bidentate ligand; X1, X2 = C, N, O; L1 = groups forming bidentate ligand with X1 and X2; m1 = 1, 2, 3; m2 = 0, 1, 2; m1 + m2 = 2, 3; M1 = Group 8-10 transition metals; Z1 = 5- or 6-membered hydrocarbon ring, group necessary for forming 5-6 heterocycle; A = C, N; \* is the bonding position) are claimed. Organic electroluminescent devices including the above given compds. and displays and illuminations including the devices are also claimed. Devices giving intense emission and showing long service life are obtained.

IT 1150643-37-0

RL: TEM (Technical or engineered material use); USES (Uses) (light-emitting layer; j organic electroluminescent phenylpyrazole metal complexes in electroluminescent devices for display devices and illumination apparatus)

RN 1150643-37-0 HCAPLUS

CN Iridium, [5-ethenyl-2-[1-(2,4,6-trimethylphenyl)-1H-imidazol-2-ylKN3]phenyl-KC]bis[2',4',6'-trimethyl-3-(1H-pyrazol-1-ylKN2)[1,1'-biphenyl]-4-yl-KC]-, polymer with
9-[3'-(9H-carbazol-9-yl)[1,1'-biphenyl]-4-yl]-3-ethenyl-9H-carbazole
and 4'-(3-ethenyl-9H-carbazol-9-yl)-N,N-diphenyl[1,1'-biphenyl]-4amine, block (CA INDEX NAME)

CM 1

CRN 1150643-36-9 CMF C38 H28 N2

CM :

CRN 1150643-18-7 CMF C56 H53 Ir N6 CCI CCS

PAGE 1-A

PAGE 2-A

CM 3

CRN 1133240-96-6 CMF C38 H26 N2

- CC 73-11 (Optical, Electron, and Mass Spectroscopy and Other Related Properties)
- Section cross-reference(s): 74
  org electroluminescent device illumination app;
  phenylpyrazole iridium complex electroluminescent
  material; display or electroluminescent metal complex
- IT Illumination

(apparatus; organic electroluminescent phenylpyrazole metal complexes in electroluminescent devices for display devices and illumination apparatus)

IT Luminescent substances

(electroluminescent; organic electroluminescent phenylpyrazole metal complexes in electroluminescent devices for display devices and illumination apparatus)

IT Electroluminescent devices

(organic electroluminescent phenylpyrazole metal complexes in electroluminescent devices for display devices and illumination apparatus)

IT Coordination compounds

RL: TEM (Technical or engineered material use); USES (Uses) (organic electroluminescent phenylpyrazole metal complexes in electroluminescent devices for display devices and illumination apparatus)

IT 1150643-09-6P

RL: IMF (Industrial manufacture); MOA (Modifier or additive use); PREP (Preparation); USES (Uses) (dopant in light-emitting layer; organic electroluminescent

phenylpyrazole metal complexes in electroluminescent devices for display devices and illumination apparatus)

- - $1150643 06 3 \qquad 1150643 07 4 \qquad 1150643 08 5 \qquad 1150643 10 9$

ΙT

ΙT

ΤТ

ΙT

ΙT

AN

DN

TΙ

IN

PA

SO

DT T.A

```
1150643-11-0 1150643-12-1 1150643-13-2
     RL: MOA (Modifier or additive use); USES (Uses)
        (dopant in light-emitting layer; organic electroluminescent
        phenylpyrazole metal complexes in electroluminescent
        devices for display devices and illumination apparatus)
    550378-78-4
                  848724-46-9
                               1149832-11-0
     RL: TEM (Technical or engineered material use); USES (Uses)
        (host in light-emitting layer; organic electroluminescent
        phenylpyrazole metal complexes in electroluminescent
        devices for display devices and illumination apparatus)
    1150643-37-0
     RL: TEM (Technical or engineered material use); USES (Uses)
        (light-emitting layer; j organic electroluminescent
        phenylpyrazole metal complexes in electroluminescent
        devices for display devices and illumination apparatus)
                   1150643-17-6 1150643-19-8
                                                1150643-21-2
     1150643-15-4
     1150643-22-3 1150643-24-5 1150643-26-7 1150643-28-9
     1150643-30-3 1150643-32-5 1150643-35-8 1150643-39-2
     1150643-41-6
     RL: TEM (Technical or engineered material use): USES (Uses)
        (light-emitting laver; organic electroluminescent
        phenylpyrazole metal complexes in electroluminescent
        devices for display devices and illumination apparatus)
     1150643-42-7P
     RL: IMF (Industrial manufacture); RCT (Reactant); PREP
     (Preparation); RACT (Reactant or reagent)
        (organic electroluminescent phenylpyrazole metal complexes
        in electroluminescent devices for display devices and
        illumination apparatus)
     10025-83-9, Iridium trichloride 1093072-00-4 1149832-13-2
     RL: RCT (Reactant); RACT (Reactant or reagent)
        (organic electroluminescent phenylpyrazole metal complexes
        in electroluminescent devices for display devices and
        illumination apparatus)
L13 ANSWER 5 OF 28 HCAPLUS COPYRIGHT 2010 ACS on STN
     2009:335468 HCAPLUS Full-text
     150:362327
     Phosphorescent polymer compound and organic
     electroluminescent device using the same
    Takahashi, Yoshiaki
    Showa Denko K.K., Japan
    PCT Int. Appl., 54pp.
    CODEN: PIXXD2
    Patent
    Japanese
FAN.CNT 1
```

	PA'	TENT I				KIN	D	DATE					ION :			D.	ATE
ΡI		2009	-			A1		2009		,							
																1	00809 n
		W:	ΑE,	AG,	AL,	AM,	ΑΟ,	AT,	AU,	AZ,	BA,	BB,	BG,	вн,	BR,	_	-
			BZ,	CA,	CH,	CN,	CO,	CR,	CU,	CZ,	DE,	DK,	DM,	DO,	DZ,	EC,	EE,
			EG,	ES,	FI,	GB,	GD,	GE,	GH,	GM,	GT,	HN,	HR,	HU,	ID,	IL,	IN,
			IS,	JP,	KE,	KG,	KM,	KN,	KP,	KR,	ΚZ,	LA,	LC,	LK,	LR,	LS,	LT,
			LU,	LY,	MA,	MD,	ME,	MG,	MK,	MN,	MW,	MX,	MY,	MZ,	NA,	NG,	NI,
			NO,	ΝZ,	OM,	PG,	PH,	PL,	PT,	RO,	RS,	RU,	SC,	SD,	SE,	SG,	SK,
			SL,	SM,	ST,	SV,	SY,	ΤJ,	TM,	TN,	TR,	TT,	TZ,	UA,	UG,	US,	UZ,
			VC,	VN,	ZA,	ZM,	ZW										
		RW:	ΑT,	BE,	BG,	CH,	CY,	CZ,	DE,	DK,	EE,	ES,	FI,	FR,	GB,	GR,	HR,
			HU,	ΙE,	IS,	ΙT,	LT,	LU,	LV,	MC,	MT,	NL,	NO,	PL,	PT,	RO,	SE,
			SI,	SK,	TR,	BF,	ВJ,	CF,	CG,	CI,	CM,	GA,	GN,	GQ,	GW,	ML,	MR,
			ΝE,	SN,	TD,	TG,	BW,	GH,	GM,	ΚE,	LS,	MW,	ΜZ,	NA,	SD,	SL,	SZ,
			TZ,	UG,	ZM,	ΖW,	ΑM,	ΑZ,	ΒY,	KG,	ΚZ,	MD,	RU,	ΤJ,	TM		
PRAI GI	JP	2007	-239	792		A		2007	0914								

- AB Disclosed is a phosphorescent polymer compound having high luminous efficiency and long emission life. Also disclosed is an organic electroluminescent device using such a phosphorescent polymer compound Specifically disclosed is a phosphorescent polymer compound containing a structural unit represented by [L1:]Ir[:L2]2 [L1 and L2 = specific ligands that are selected as to satisfy a specific condition].
- IT 1133721-36-4

RL: TEM (Technical or engineered material use); USES (Uses) (phosphorescent polymer compound for organic electroluminescent device)

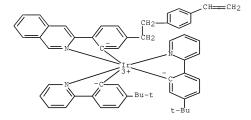
RN 1133721-36-4 HCAPLUS

CN Tridium, bis[5-(1,1-dimethylethyl)-2-(2-pyridinyl-kN)phenylKC][5-[2-(4-ethenylphenyl)ethyl]-2-(3-isoquinolinylKN)phenyl-KC]-, polymer with
N.N-bis[4-(9H-carbazol-9-yl)phenyl]-4-(3-ethenyl-9H-carbazol-9-

```
yl)benzenamine and bis(3,5-dimethyl[1,1'-biphenyl]-4-yl)(4'-ethenyl-
3,5-dimethyl[1,1'-biphenyl]-4-yl)borane (CA INDEX NAME)

CM 1

CRN 1133721-29-5
    CMF C55 H52 Ir N3
    CCI CCS
```



CM 2

CRN 934399-25-4

CMF C56 H38 N4

CM 3

CRN 856695-28-8 CMF C44 H41 B

- $\mbox{CC} \mbox{ } 73\mbox{-}5$  (Optical, Electron, and Mass Spectroscopy and Other Related Properties)
- Section cross-reference(s): 74, 78
  ST phosphorescent polymer org electroluminescent device
- IT Electroluminescent devices

IΤ

Luminescent screens

```
(electroluminescent; phosphorescent polymer compound for
        organic electroluminescent device)
    Electroluminescent devices
ΤТ
    Phosphorescent substances
        (phosphorescent polymer compound for organic
        electroluminescent device)
ΙT
    Coordination compounds
    Organometallic compounds
    RL: TEM (Technical or engineered material use); USES (Uses)
        (phosphorescent polymer compound for organic
       electroluminescent device)
ΙT
    98-80-6, Phenyl boronic acid 217-65-2, Dibenzo[f,h]quinoline
    358-23-6, Triflic anhydride 612-62-4, 2-Chloroguinoline
    2156-04-9, 4-Vinylphenyl boronic acid 4926-28-7,
    2-Bromo-4-picoline 7651-81-2, 3-Hydroxyisoquinoline
    Iridium trichloride trihydrate 19493-44-8, 1-Chloroisoquinoline
                              126747-14-6, 4-Cyanophenyl boronic acid
    63056-20-2
               87532-75-0
    855285-41-5, Benzo[h]quinoline-4-carboxaldehyde
    RL: RCT (Reactant); RACT (Reactant or reagent)
        (phosphorescent polymer compound for organic
       electroluminescent device)
IΤ
    3475-21-6P
                 40174-37-6P 185950-63-4P 435294-70-5P
                                                 872984-48-0P
    632327-36-7P
                   740845-95-8P 852609-81-5P
    917114-09-1P 917114-10-4P
                                  917114-14-8P
                                                 918890-26-3P
    1132943-23-7P 1132943-28-2P 1132943-31-7P
                                                   1132943-34-0P
    1132943-37-3P 1132943-42-0P 1133721-24-0P
                                                   1133721-25-1P
    1133721-26-2P 1133721-27-3P 1133721-28-4P 1133721-29-5P
    1133721-30-8P 1133721-31-9P 1133721-32-0P 1133721-33-1P
    RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation);
    RACT (Reactant or reagent)
        (phosphorescent polymer compound for organic
       electroluminescent device)
ΤТ
    1132943-18-0P
    RL: SPN (Synthetic preparation): TEM (Technical or engineered
    material use); PREP (Preparation); USES (Uses)
        (phosphorescent polymer compound for organic
        electroluminescent device)
ΙT
    1133721-34-2
                   1133721-35-3
                                 1133721-36-4 1133721-38-6
    1133721-39-7
    RL: TEM (Technical or engineered material use); USES (Uses)
        (phosphorescent polymer compound for organic
       electroluminescent device)
RE.CNT
       2.5
             THERE ARE 25 CITED REFERENCES AVAILABLE FOR THIS RECORD
             ALL CITATIONS AVAILABLE IN THE RE FORMAT
L13 ANSWER 6 OF 28 HCAPLUS COPYRIGHT 2010 ACS on STN
```

- AN 2009:292025 HCAPLUS Full-text
- DN 150:317269
- TI **Electroluminescent** materials grafted with charge transport moieties having graded ionization potential or electrophilic property and their application in light-emitting diodes
- IN Chen, Show-An; Huang, Chih-Wei; Peng, Kang-Yung; Liu, Ching-Yang
- PA National Tsing Hua University, Taiwan
- SO U.S. Pat. Appl. Publ., 26pp.
  - CODEN: USXXCO
- DT Patent
- LA English

FAN.CNT 1

	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
PI	US 20090066238	A1	20090312	US 2008-230725	
					200809

PRAI TW 2007-96133232 A 20070906

ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT

AB This invention provides new electroluminescent materials such as a conjugated polymer or a phosphorescent organometallic complex, which are grafted with multiple charge transport moieties with graded ionization potential or electrophilic property. The charge transport moieties can be all hole transport moieties or all electron transport moieties. The emissive electroluminescent materials covering the full visible range can be prepared Organic light emitting diodes prepared with these materials can be used as indicators, light source and display for cellular phones, digital camera, pager, portable computer, personal data acquisition (PDA), watch, hand-held videocame, and billboard, etc.

IT 1130298-64-4P

RL: SPN (Synthetic preparation); TEM (Technical or engineered material use); PREP (Preparation); USES (Uses)

(electroluminescent materials grafted with charge transport moieties having graded ionization potential or electrophilic property and their application in light-emitting diodes)

- RN 1130298-64-4 HCAPLUS
- CN Iridium, bis[3,5-difluoro-2-(2-pyridinyl-kN)phenyl-kC][3-[[6-[3-[[4-(diphenylamino)phenyl]methoxy]hexyl]oxy]methyl]-9H-carbazol-9-yl]hexyl]oxy]-2-pyridinecarboxylato-kNl,kO2]-(CA INDEX NAME)

PAGE 1-A

PAGE 1-B

INCL 313504000; 525474000; 525540000

- CC 73-11 (Optical, Electron, and Mass Spectroscopy and Other Related Properties)
- ST electroluminescent device ionization potentioal charge
- transport polymer
  II Electroluminescent devices

wrectiornmrnescer

Electron transport

Electrophilicity

Hole transport

Ionization potential

(electroluminescent materials grafted with charge

transport moieties having graded ionization potential or electrophilic property and their application in light-emitting diodes)

IT Luminescent substances

(electroluminescent: electroluminescent

materials grafted with charge transport moieties having graded ionization potential or electrophilic property and their application in light-emitting diodes)

IT 629-03-8, 1,6-Dibromohexane 4041-19-4 14348-75-5, 2.7-Dibromofluorenone 18908-66-2. 1-Bromo-2-ethylhexane 86658-71-1 108962-32-9, 4,4'-Dibutvltriphenvlamine 376367-93-0 481695-70-9 780039-40-9 1128102-39-5 1128102-42-0 1128102-45-3 RL: RCT (Reactant); RACT (Reactant or reagent) (electroluminescent materials grafted with charge transport moieties having graded ionization potential or electrophilic property and their application in light-emitting diodes) 607708-19-0P 947369-29-1P 1088428-71-0P 1088428-78-7P TΤ 1088428-85-6P 1128102-40-8P 1128102-41-9P 1128102-43-1P 1128102-44-2P RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent) (electroluminescent materials grafted with charge transport moieties having graded ionization potential or electrophilic property and their application in light-emitting diodes) 1088428-83-4P 1130298-64-4P IΤ RL: SPN (Synthetic preparation); TEM (Technical or engineered material use); PREP (Preparation); USES (Uses) (electroluminescent materials grafted with charge transport moieties having graded ionization potential or electrophilic property and their application in light-emitting diodes) L13 ANSWER 7 OF 28 HCAPLUS COPYRIGHT 2010 ACS on SIN 2009:114549 HCAPLUS Full-text AN 150:179594 DN Organic electroluminescence (EL) devices with high TT luminous efficiency and suppressed dark spot, and display devices and lamps having them Yasukawa, Noriko: Kato, Eisaku IN PA Konica Minolta Holdings, Inc., Japan Jpn. Kokai Tokkyo Koho, 105pp. SO CODEN: JKXXAF DT Patent LA Japanese FAN.CNT 1 PATENT NO. KIND DATE APPLICATION NO. DATE PT JP 2009021336 A 20090129 JP 2007-182063 200707 11 PRAI JP 2007-182063 20070711

MARPAT 150:179594

$$\begin{bmatrix} \begin{bmatrix} A & & & & & \\ & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & \\ & & & \\ & & \\ & & & \\ & \\ & & \\ & \\ & & \\ & \\ & & \\ & & \\ & \\ & & \\ & \\ & \\ & & \\ & \\ & \\ &$$

$$(Cz)_{n-Ar} \xrightarrow{X^1 \xrightarrow{X^2}}_{X^2} X^2$$

AB The EL devices include anodes, luminescent layers containing host compds. and metal complexes, electron transport layers, and cathodes, wherein the luminescent layers contain metal complexes of I (X4 = N, C; Z = hydrocarbon ring, heterocyclic ring; X3, Y = C, N; A = atomic groups forming 5 to 6-membered hydrocarbon or heterocyclic ring with X3C; B = CR1:CR2, N:CR2, CR1:N, N:N; R1, R2 = H, substituent; X1L1X2 = bidentate ligand; X1, X2 = C, N, O; L1 = atomic group forming bidentate ligand with X1 and X2; m1 = 1, 2, 3; m2 = 0, 1, 2; m1 + m2 = 2, 3; M1 = Group VIII metal), and the electron transport layers contain II [n = 1, 2; Ar = arylene, heteroarylene; R3, R4 = H, aryl; X1-3 = :CR, :N; at least one of X1-3 is :N; R = H, substituent; Cz = (un) substituted carbazolyl]. The devices can prevent crystallization of organic layers.

IT 1101860-64-3

RL: TEM (Technical or engineered material use); USES (Uses) (dopant, luminescent layer; organic EL devices with high luminous efficiency and suppressed dark spot for display devices and lamps)

RN 1101860-64-3 HCAPLUS

CN Iridium, tris[3-[1-(4-cyano-2,6-dimethylphenyl)-1H-imidazol-2-yl-  $\kappa$ N3]-9-phenyl-9H-carbazol-4-yl- $\kappa$ C]- (CA INDEX NAME)

PAGE 3-A

NC/

- CC 73-11 (Optical, Electron, and Mass Spectroscopy and Other Related Properties) Section cross-reference(s): 74, 76
- ST org electroluminescent device dark spot prevention display; cyclohexylimidazolylbisphenylpyrazolylphenyliridium diphenylpyridinylphenylcarbazole dicarbazolylbenzene electroluminescent lamp luminous efficiency
- IT Electroluminescent devices

(displays; organic EL devices with high luminous efficiency and suppressed dark spot for display devices and lamps)

IT Luminescent screens

(electroluminescent; organic EL devices with high luminous efficiency and suppressed dark spot for display devices and lamps)

- - RL: TEM (Technical or engineered material use); USES (Uses) (dopant, luminescent layer; organic EL devices with high luminous efficiency and suppressed dark spot for display devices and lamps)
- L13 ANSWER 8 OF 28 HCAPLUS COPYRIGHT 2010 ACS on STN
- AN 2009:114548 HCAPLUS Full-text
- DN 150:179593
- TI Organic electroluminescent (EL) devices with high luminous efficiency and suppressed dark spot, and display devices and lamps having them
- IN Yasukawa, Noriko; Kato, Eisaku

PA Konica Minolta Holdings, Inc., Japan

SO Jpn. Kokai Tokkyo Koho, 98pp.

CODEN: JKXXAF

DT Patent

LA Japanese

FAN.CNT 1				
PATENT NO.	KIND	DATE 	APPLICATION NO.	DATE
PI JP 2009021335	A	20090129	JP 2007-182062	200707
PRAI JP 2007-182062		20070711		11

OS MARPAT 150:179593

$$(Cz)_{m-Ar} \xrightarrow{X^{1}}_{X^{3}} X^{2}$$

$$X^{3} = X_{R^{4}} II$$

AB The EL devices include anodes, luminescent layers containing host compds. and metal complexes, and cathodes, wherein the luminescent layers contain metal complexes of I (X4 = N, C; Z = hydrocarbon ring, heterocyclic ring; X3, Y = C, N; A = atomic groups forming 5 to 6-membered hydrocarbon or heterocyclic ring with X3C; B = CR1:CR2, N:CR2, CR1:N, N:N; R1, R2 = H, substituent; X1LIX2 = bidentate ligand; X1, X2 = C, N, O; L1 = atomic group forming bidentate ligand with X1 and X2; m1 = 1, 2, 3; m2 = 0, 1, 2; m1 + m2 = 2, 3; M1 =

Group VIII metal) and Ar4Ar5N(p-C6H4-xRxx)mAr2NAr1Ar3(p-C6H4-yRyy)nNAr6Ar7 (Ar1 = ary1; Ar2, Ar3 = arylene; Ar4-7 = ary1; Rx, Ry = substituent; x, y = 0-4; m, n = 0-3; Ar2 and Ar3, Ar4 and Ar5, and/or Ar6 and Ar7 are connected through direct bonding, O, S, or alkylene). The metal complexes (dopants) and host compds. will not interact with each other, thus cause no crystallization in organic layers.

IT 1101860-64-3

RL: TEM (Technical or engineered material use); USES (Uses) (dopant; organic EL devices with high luminous efficiency and suppressed dark spot for displays and lamps)

RN 1101860-64-3 HCAPLUS

CN Iridium, tris[3-[1-(4-cyano-2,6-dimethylphenyl)-1H-imidazol-2-yl-  $\kappa N3]-9-phenyl-9H-carbazol-4-yl-\kappa C]- \quad (CA INDEX NAME)$ 

PAGE 1-A

PAGE 2-A

PAGE 3-A

 $_{\rm N}$ 

 $\mbox{CC} \mbox{ } 73\mbox{-11}$  (Optical, Electron, and Mass Spectroscopy and Other Related Properties)

Section cross-reference(s): 74, 76

ST org electroluminescent device dark spot prevention display; cyclohexylimidazolylbisphenylpyrazolylphenyliridium bisxanthenylphenylphenylamine electroluminescent device luminous efficiency lamp

IT Electroluminescent devices

(displays; organic EL devices with high luminous efficiency and suppressed dark spot for displays and lamps)

IT Luminescent screens

(electroluminescent; organic EL devices with high luminous efficiency and suppressed dark spot for displays and lamps)

IT 343978-78-9 405289-74-9 800395-01-1 832109-92-9 1013021-35-6

AN

DN

IN

PA

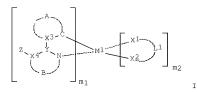
LA

GI

OS MARPAT 150:155890

```
1100761-20-3 1100761-21-4 1100761-22-5 1100761-23-6
    1100761-24-7 1100761-25-8 1100761-26-9 1100761-27-0
    1100763-91-4 1101860-55-2 1101860-58-5 1101860-59-6
    1101860-61-0 1101860-64-3 1101860-71-2 1101860-72-3
    1101860-73-4 1101860-74-5
    RL: TEM (Technical or engineered material use); USES (Uses)
       (dopant; organic EL devices with high luminous efficiency and
       suppressed dark spot for displays and lamps)
L13 ANSWER 9 OF 28 HCAPLUS COPYRIGHT 2010 ACS on STN
    2009:86345 HCAPLUS Full-text
    150:155890
TI Organic electroluminescence device showing improved light
    efficiency, luminescence lifetime, uniform brightness, and
    suppressed dark spot formation, and its use in display and
    illumination apparatus
    Yasukawa, Noriko; Kato, Eisaku
   Konica Minolta Holdings, Inc., Japan
SO Jpn. Kokai Tokkvo Koho, 112pp.
    CODEN: JKXXAF
DT Patent
    Japanese
FAN.CNT 1
                KIND DATE APPLICATION NO.
    PATENT NO.
                                                             DATE
PI JP 2009016719 A 20090122
                                       JP 2007-179521
                                                              200707
                                                              09
PRAI JP 2007-179521
                            20070709
```

42



 $(A^{1}) n_{2}$  N-L-N  $(A^{2}) n_{3}$   $(A^{3}) n_{4}$   $(A^{3}) n_{4}$ 

AB The title organic electroluminescence device contains a metal complex compound represented by I [X1, X2 = C, N, O; X3 = C, N; X3, X4, Y = C, N; Z = hydrocarbon ring, heterocycle ring; A = atom group for forming 5- to 6-member hydrocarbon or heterocycle ring; B = - C(R01):C(R02)-, -N:C(R02)-, -C(R01):N-, -N:N-; R01, R02 = H, substituent; L1 = atom group for forming ligand; m1 = 1, 2, 3; m2 = 0, 1, 2; m1+m2 = 2 or 3; M1 = group 8 to 10 metal] in an electroluminescence layer and a compound represented by II [A1-4 = substituent; L = -Ar5-(-L2-Ar6-)n1-; Ar5, Ar6 = arylene; L2 = single bond, connection group; n1 = 0, 1; n2, n3, n4, n5 = 0-5] in a pos. hole transport layer.

IT 1101860-64-3

RL: MOA (Modifier or additive use); USES (USES)
(electroluminescence dopant material; organic
electroluminescence device showing improved light
efficiency, luminescence lifetime, uniform brightness, and
suppressed dark spot formation, and its use in display and
illumination apparatus)

RN 1101860-64-3 HCAPLUS

PAGE 3-A

- CC 73-11 (Optical, Electron, and Mass Spectroscopy and Other Related Properties) Section cross-reference(s): 74, 76
- ST org electroluminescence device display illumination metal complex dopant
- IT Luminescent substances

(electroluminescent; organic electroluminescence device showing improved light efficiency, luminescence lifetime, uniform brightness, and suppressed dark spot formation, and its use in display and illumination apparatus)

IT Electroluminescent devices Optical imaging devices

(organic electroluminescence device showing improved light efficiency, luminescence lifetime, uniform brightness, and suppressed dark spot formation, and its use in display and illumination apparatus)

```
ΤТ
    405289-74-9
                800395-01-1
                              832109-92-9 1013021-35-6
    1013022-35-9 1085273-76-2 1100761-20-3 1100761-21-4
    1100761-22-5 1100761-23-6 1100761-24-7
                                               1100761-25-8
    1100761-26-9 1100761-27-0 1100763-91-4 1101860-53-0
    1101860-54-1 1101860-55-2 1101860-56-3 1101860-57-4
    1101860-58-5 1101860-59-6 1101860-60-9 1101860-61-0
    1101860-62-1 1101860-63-2 1101860-64-3 1101860-66-5
    1101860-68-7 1101860-69-8 1101860-70-1 1101860-71-2
    1101860-72-3
                  1101860-73-4
                                1101860-74-5
    RL: MOA (Modifier or additive use): USES (Uses)
       (electroluminescence dopant material; organic
       electroluminescence device showing improved light
       efficiency, luminescence lifetime, uniform brightness, and
       suppressed dark spot formation, and its use in display and
       illumination apparatus)
    58328-31-7
                550378-78-4
                             604785-54-8 697312-14-4
                                                        872216-44-9
TΤ
```

RL: TEM (Technical or engineered material use); USES (Uses) (electroluminescence host material; organic

45

```
electroluminescence device showing improved light
        efficiency, luminescence lifetime, uniform brightness, and
        suppressed dark spot formation, and its use in display and
        illumination apparatus)
ΙT
    141546-10-3 164724-33-8 164724-35-0 169224-61-7 209980-53-0
     266361-70-0
                  1101171-58-7 1101171-59-8 1101171-60-1
     1101171-61-2 1101171-62-3 1101171-63-4 1101171-64-5
     1101171-65-6 1101171-66-7 1101171-67-8 1101171-68-9
     1101171-69-0 1101171-70-3
     RL: TEM (Technical or engineered material use); USES (Uses)
        (pos. hole transport material; organic electroluminescence
        device showing improved light efficiency, luminescence lifetime,
        uniform brightness, and suppressed dark spot formation, and its
        use in display and illumination apparatus)
L13 ANSWER 10 OF 28 HCAPLUS COPYRIGHT 2010 ACS on STN
AN
    2009:83345 HCAPLUS Full-text
DN
    150:169801
    Triazine ring-containing polymer compounds for organic
TΙ
    light-emitting devices with high luminescent efficiency and
     brightness
IN
    Toba, Masahiko
PA
    Showa Denko K.K., Japan
SO
    PCT Int. Appl., 47pp.
    CODEN: PIXXD2
DT
    Patent
LA
    Japanese
FAN.CNT 1
                                       APPLICATION NO.
    PATENT NO.
                        KIND
                               DATE
                                                                 DATE
                        ----
PΙ
   WO 2009011270 A1 20090122 WO 2008-JP62445
                                                                  200807
            AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY,
            BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE,
            EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN,
            IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT,
            LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI,
            NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK,
             SL, SM, ST, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ,
            VC, VN, ZA, ZM, ZW
        RW: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR,
            HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, NO, PL, PT, RO, SE,
            SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GO, GW, ML, MR,
            NE, SN, TD, TG, BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ,
```

TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM

PRAI JP 2007-184403

A 20070713

GI

The present invention relates to polymer compds. containing a AB structural unit derived from a compound I, wherein A1, A3, A5 = independently optionally heteroatom-containing monovalent aromatic group; A2, A4, A6 = independently optionally heteroatom-containing divalent aromatic group (≥1 of A1-6 has a substituent containing a polymerizable functional group); m = 1-2 integer; n = 0-2 integer; and p = 0-2 integer. Thus, 10 mmol 4-bromobenzyl chloride and 30 mmol 4-tert-butylbenzonitrile were reacted followed by reaction with vinylphenylborone to give a vinyl-containing triazine derivative, 20 mg of which was radically-polymerized, 40.5 mg of the resulting polymer was mixed with 9 mg a phosphorescent compound and 40.5 mg N, N-bis [4-(9H-carbazol-9-vl)phenvl]-4-(3-ethenvl-9H-carbazol-9-vl)benzenamine in 2910 mg toluene, applied on a Baytron P/ITO/glass substrate and dried, a mixture layer of barium and aluminum was formed thereon to give a test piece, showing maximum quantum efficiency 9.1%, maximum brightness 58,000 cd/m2, and brightness half life 5200 h.

#### TT 1104454-86-5P

RL: IMF (Industrial manufacture); POF (Polymer in formulation); PRP (Properties); TEM (Technical or engineered material use); PREP (Preparation); USES (Uses)

(preparation of triazine ring-containing polymer compds. for  $\mbox{\it organic}$ 

light-emitting devices with high luminescent efficiency and brightness)

RN 1104454-86-5 HCAPLUS

CN Iridium, bis[5-(1,1-dimethylethyl)-2-(2-pyridinyl- $\kappa$ N)phenyl- $\kappa$ C][5-ethenyl-2-(2-pyridinyl- $\kappa$ N)phenyl- $\kappa$ C]-,

polymer with N,N-bis[4-(9H-carbazol-9-yl)phenyl]-4-(3-ethenyl-9H-carbazol-9-yl)benzenamine and 2,4-bis[4-(1,1-dimethylethyl)phenyl]-6-(4'-ethenyl[1,1'-biphenyl]-4-yl)-1,3,5-triazine (CA INDEX NAME)

CM 1

CRN 1103883-38-0 CMF C37 H37 N3

CM 2

CRN 942151-01-1 CMF C43 H42 Ir N3

CCI CCS

$$\begin{array}{c|c} & & & \\ & & & \\ \hline & &$$

CM 3

CRN 934399-25-4 CMF C56 H38 N4

CC 38-3 (Plastics Fabrication and Uses) Section cross-reference(s): 35, 73, 74

light-emitting devices with high luminescent efficiency and brightness)

IT 1103883-56-2P 1103883-57-3P 1103883-60-8P 1103883-63-1P 1103883-67-5P 1103883-69-7P 1103883-72-2P 1103883-73-3P 1103883-76-6P 1104454-85-4P 1104454-86-5P

RL: IMF (Industrial manufacture); POF (Polymer in formulation); PRP (Properties); TEM (Technical or engineered material use); PREP (Preparation); USES (Uses)

 $\hbox{ (preparation of triazine ring-containing polymer compds. for organic }$ 

light-emitting devices with high luminescent efficiency and brightness)

OSC.G 1 THERE ARE 1 CAPLUS RECORDS THAT CITE THIS RECORD (1

RE.CNT 8 THERE ARE 8 CITED REFERENCES AVAILABLE FOR THIS RECORD

L13 ANSWER 11 OF 28 HCAPLUS COPYRIGHT 2010 ACS on STN AN 2009:3223 HCAPLUS Full-text

```
DN
    150:109407
ΤI
    Phosphorescent polymer compounds and organic
    electroluminescent devices manufactured therewith
    Takahashi, Yoshiaki
IN
PΑ
    Showa Denko K.K., Japan
SO
    PCT Int. Appl., 68pp.
    CODEN: PIXXD2
DT
    Patent.
LA
    English
FAN.CNT 1
                 KIND DATE APPLICATION NO.
    PATENT NO.
                                                          DATE
    _____
                       ____
                              -----
                                         _____
    WO 2009001953 A1 20081231 WO 2008-JP61800
PΤ
                                                                200806
            AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY,
            BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE,
            EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN,
            IS, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU,
            LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO,
            NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL,
            SM, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN,
            ZA, ZM, ZW
        RW: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR,
            HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, NO, PL, PT, RO, SE,
            SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GO, GW, ML, MR,
            NE, SN, TD, TG, BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ,
            TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM
                             20090212 JP 2008-168486
    JP 2009030038
                        А
                                                                200806
                                                                27
PRAI JP 2007-170646 A 20070628
```

GΙ

Ι

AB A phosphorescent polymer compound has high luminance efficiency and long life. An organic electroluminescent device includes the compound The phosphorescent polymer compound includes structural units that are derived from a compound I [R1-8 = H, halo, cyano, alkyl, aryl, heteroaryl, or amino optionally substituted with alkyl, alkoxy, silyl optionally substituted with alkyl, or a group having a radically polymerizable functional group, and one of R1-8 is a group having a radically polymerizable functional group; L = ligand with a specific five-membered ring structure, and the two ligands L may be the same or different from each other). 1094700-97-6P

ΙT

RL: SPN (Synthetic preparation); TEM (Technical or engineered material use); PREP (Preparation); USES (Uses)

(phosphorescent polymer compds. and organic

electroluminescent devices manufactured therewith)

1094700-97-6 HCAPLUS RN

CN Iridium, [4-[(4-ethenylphenyl)methoxy]-2-(2-pyridinyl-

 $\kappa N$ ) phenyl- $\kappa C$ ] bis [5-methyl-2-(1H-1,2,4-triazol-1-yl-

κN2)phenvl-κCl-, polymer with

N, N-bis[4-(9H-carbazol-9-yl)phenyl]-4-(3-ethenyl-9H-carbazol-9-

yl)benzenamine and bis(3,5-dimethyl[1,1'-biphenyl]-4-yl)(4'-ethenyl-3,5-dimethyl[1,1'-biphenyl]-4-yl)borane (CA INDEX NAME)

CM 1

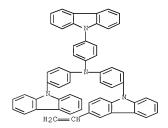
CRN 1094700-78-3

CMF C38 H32 Ir N7 O

CCT CCS

CM

CRN 934399-25-4 CMF C56 H38 N4



CM 3

CRN 856695-28-8 CMF C44 H41 B

```
CC 73-11 (Optical, Electron, and Mass Spectroscopy and Other Related
Properties)
Section cross-reference(s): 38
```

ST phosphorescent polymer org electroluminescent device iridium phenylpyridine

IT Electroluminescent devices

(phosphorescent polymer compds. and organic electroluminescent devices manufactured therewith)

IT 109-04-6, 2-Bromopyridine 288-13-1, Pyrazole 288-88-0,

1H-1,2,4-Triazole 589-87-7, 4-Bromoiodobenzene 624-31-7, 4-Iodotoluene 1592-20-7, 4-Vinylbenzyl chloride 3475-07-8 6336-45-4, Vinylboronic acid dibutyl ester 10025-83-9, Iridium trichloride 23100-12-1, 2-Chloro-5-formylpyridine 35779-04-5, 4-tert-Butyliodobenzene 69135-05-3 87199-18-6,

3-Hydroxyphenylboronic acid 123324-71-0, 4-tert-Butylphenylboronic

acid 740845-95-8 872872-74-7 1094356-77-0

RL: RCT (Reactant); RACT (Reactant or reagent) (phosphorescent polymer compds. and organic

electroluminescent devices manufactured therewith)

IT 2244-88-4P 13788-92-6P 98061-22-4P 343604-39-7P 832109-91-8P 942151-01-1P 1094356-69-0P 1094356-84-9P 1094356-87-2P 1094356-97-4P 1094700-72-7P 1094700-74-9P 1094700-85-2P 1094700-85-2P

1094700-88-5P 1094700-91-0P 1094700-93-2P

RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation);

RACT (Reactant or reagent)

(phosphorescent polymer compds. and organic

electroluminescent devices manufactured therewith)

IT 1094700-95-4P 1094700-97-6P 1094700-99-8P 1094701-01-5P 1094701-03-7P 1094701-05-9P

1094701-07-1P 1094701-09-3P
RL: SPN (Synthetic preparation); TEM (Technical or engineered material use); PREP (Preparation); USES (Uses)
(phosphorescent polymer compds. and organic electroluminescent devices manufactured therewith)

RE.CNT 4 THERE ARE 4 CITED REFERENCES AVAILABLE FOR THIS RECORD
ALL CITATIONS AVAILABLE IN THE RE FORMAT

- L13 ANSWER 12 OF 28 HCAPLUS COPYRIGHT 2010 ACS on STN
- AN 2008:567177 HCAPLUS Full-text
- DN 149:32816
- TI The Synthesis and Properties of Carbazole-Phenylazomethine Double Layer-Type Dendrimers
- AU Albrecht, Ken; Kasai, Yuto; Kimoto, Atsushi; Yamamoto, Kimihisa
- CS Department of Chemistry, Faculty of Science and Technology, Keio University, Yokohama, 223-8522, Japan
- SO Macromolecules (Washington, DC, United States) (2008), 41(11), 3793-3800
- CODEN: MAMOBX; ISSN: 0024-9297
- PB American Chemical Society
- DT Journal
- LA English
- AB A new double layer-type dendrimer with carbazole as the outer layer and phenylazomethine as the inner layer of the dendron was synthesized using the Ullmann reaction and dehydration reaction in the presence of titanium tetrachloride. In this dendrimer, the carbazole units act as excellent hole-transporters, the phenylazomethine units act as metal assembling sites, and the combination of both units provides a thermally stable shell for which the 10% weight loss temperature was over 550 °C. The dendrimers were used as the hole-transporting layer in an OLED device. The OLED device performance increased when the generation of the carbazole increased, corresponding to the higher HOMO level. Addnl., the enhancement of the hole-transporting property was observed by simple complexation of the metal ions to the imine site. Next, the effect of the generation of phenylazomethine was observed and compared to the asym.-type carbazole-phenylazomethine dendrimers. When the generation of phenylazomethine increased in the asym.-type dendrimer, the device performance decreased. In contrast, the performance did not change using the double layer-type dendrimer. This indicates that the outer layer carbazole works as a hole-transporting shell, and the double layer-type architecture is an ideal structure.
- IT 1030836-93-1P

RL: PRP (Properties); SPN (Synthetic preparation); PREP (Preparation)

(the synthesis and properties of carbazole-phenylazomethine double layer-type dendrimers)

RN 1030836-93-1 HCAPLUS

PAGE 1-A

PAGE 2-B

PAGE 3-A

36-5 (Physical Properties of Synthetic High Polymers) CC Section cross-reference(s): 73, 76

ΙT Electroluminescent devices

HOMO (molecular orbital)

Hole transport

Luminescence

Thermal stability Ullmann reaction

Voltammetry

(the synthesis and properties of carbazole-phenylazomethine double layer-type dendrimers)

748157-32-6P 1030630-74-0P 1030836-93-1P TT

RL: PRP (Properties); SPN (Synthetic preparation); PREP (Preparation)

(the synthesis and properties of carbazole-phenylazomethine double laver-type dendrimers)

OSC.G THERE ARE 9 CAPLUS RECORDS THAT CITE THIS RECORD (9 CITINGS)

THERE ARE 84 CITED REFERENCES AVAILABLE FOR THIS RECORD RE.CNT 84 ALL CITATIONS AVAILABLE IN THE RE FORMAT

L13 ANSWER 13 OF 28 HCAPLUS COPYRIGHT 2010 ACS on STN

AN 2008:525964 HCAPLUS Full-text

148:517837 DN

TΙ Organometallic complex and organic electroluminescent device using the same

Ragini, Das Rupasree; Kim, Hee-Kyung; Kwon, O-Hyun; Byun, Young-Hun; IN Park, Joon-Yong; Song, Jung-Bae; Han, Eun-Sil

Samsung Electronics Co., Ltd., S. Korea PA

U.S. Pat. Appl. Publ., 30pp. SO

CODEN: USXXCO Patent

DT

LA English DAM CATE 1

PAN.	CNII				
	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
ΡI	US 20080103308	A1	20080501	US 2007-932121	
					200710 31
	KR 2008039056	A	20080507	KR 2006-106725	ΣŢ
					200610
	0000 40000	_			31
	KD 2006-106725	Δ	20061031		

PRAI KR 2006-106725

ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT

OS CASREACT 148:517837; MARPAT 148:517837

GΙ

$$\begin{bmatrix} \text{CyN} & \text{O} & \text{O} \\ \text{CyC} & \text{N} & \text{N} & \text{R}^1 \\ \text{R}^4 & \text{R}^5 & \text{R}^6 \end{bmatrix}$$

AB Provided are a highly efficient phosphorescent organometallic complex I (M = Ir, Os, Pt, Pb, Re, Ru, Pd; CyN = (un)substituted C3-60 heterocyclic group comprising N bound to M, etc.; CyC = (un)substituted C4-60 carbocyclic group comprising carbon bound to M, etc.; CyN-CyC = cyclometalating ligand bound to M via N and C, etc.; X = organoamino, organosilyl, O, S, etc.; Rl, R4, R5, R6, R7, R8 = H, OH, sulfo, halo, carboxy, amino, nitro, cyano, (un)substituted C1-20 alkyl, alkoxy, alkenyl, alkynyl, heteroalkyl, etc.) and an organic electroluminescent (EL) device using the same. The organometallic complex can be used in the formation of an organic layer of the organic EL device, and can emit light in a red wavelength range as a highly efficient phosphorescent material. The organic EL device using the organometallic complex can exhibit high brightness and a low driving voltage.

IT 1021947-47-6P

RL: PRP (Properties); SPN (Synthetic preparation); TEM (Technical or engineered material use); PREP (Preparation); USES (Uses)

(preparation of cyclometalated nitrogen heterocyclic

organometallic

complex and organic electroluminescent device using the same)

RN 1021947-47-6 HCAPLUS

CN Iridium, bis[4-cyano-3,5-difluoro-2-(2-pyridinyl-kN)phenylkC](9-propyl-9H-pyrido[3,4-b]indole-3-carboxylatokN2,kO3)- (CA INDEX NAME)

INCL 546005000; 313504000

- CC 29-13 (Organometallic and Organometalloidal Compounds) Section cross-reference(s): 73
- cyclometalated iridium organometallic complex prepn org ST electroluminescent device
- ΤТ Metalation

(cyclometalation; preparation of cyclometalated nitrogen heterocyclic

> organometallic complex and organic electroluminescent device using the same)

ΙT Electroluminescent devices

> (organic; preparation of cyclometalated nitrogen heterocyclic organometallic complex and organic electroluminescent device using the same)

ΤТ Brightening

Phosphorescent substances

(preparation of cyclometalated nitrogen heterocyclic organometallic

complex and organic electroluminescent device using the same)

ΙT Organometallic compounds

RL: PRP (Properties); SPN (Synthetic preparation); TEM (Technical or engineered material use); PREP (Preparation); USES (Uses)

(preparation of cyclometalated nitrogen heterocyclic

organometallic

complex and organic electroluminescent device using the same)

ΤТ 1021947-48-7

RL: RCT (Reactant); RACT (Reactant or reagent)

(=preparation of cyclometalated nitrogen heterocyclic organometallic

complex and organic electroluminescent device using the same)

TТ 944832-51-3P 1021947-42-1P 1021947-43-2P 1021947-44-3P 1021947-45-4P 1021947-46-5P 1021947-47-6P

RL: PRP (Properties); SPN (Synthetic preparation); TEM (Technical or engineered material use); PREP (Preparation); USES (Uses)

(preparation of cyclometalated nitrogen heterocyclic

organometallic

complex and organic electroluminescent device using the same)

ΙT 391611-77-1 435294-69-2 603109-48-4 664374-05-4 795280-15-8 1021947-49-8 1021947-50-1

RL: RCT (Reactant); RACT (Reactant or reagent)

(preparation of cyclometalated nitrogen heterocyclic organometallic

> complex and organic electroluminescent device using the same)

ANSWER 14 OF 28 HCAPLUS COPYRIGHT 2010 ACS on STN L13

AN 2008:415748 HCAPLUS Full-text

148:437017 DN

ΤI Organic electroluminescent material, organic

electroluminescent device, display, and lighting system

Oshiyama, Tomohiro; Nishizeki, Masato; Kita, Hiroshi IN

Konica Minolta Holdings, Inc., Japan PA

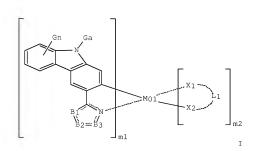
SO Jpn. Kokai Tokkyo Koho, 52pp.

CODEN: JKXXAF

DT Patent

LA Japanese

FAN.	CNT 1 PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
PI	JP 2008074940	A	20080403	JP 2006-255133	200609
PRAI OS GI	JP 2006-255133 MARPAT 148:437017		20060921		21



- AB The invention refers to an organic electroluminescent material comprising I [X1,2 = 0, S. -NR01; R01 = alkyl, aromatic hydrocarbon or heterocycle; B1-3 = C or N wherein at least one is N; L1 = atoms forming a bidentate ligand with X1,2; G = substituent; Gallium arsenide = electron withdrawing substituent; n = 0 4, m1, m2 = 0 3, wherein 1 ≤ m1 + m2 ≤ 3; M01 = group 8 10 metall.
- IT 1017863-84-1
  RL: TEM (Technical or engineered material use); USES (Uses)
  (organic electroluminescent material, organic
- electroluminescent device, display, and lighting system)
  RN 1017863-84-1 HCAPLUS
  CN Tridium. tris[9-(4-cvanophenyl)-3-[1-(2.4.6-trimethylphenyl)
- CN Iridium, tris[9-(4-cyanophenyl)-3-[1-(2,4,6-trimethylphenyl)-1Himidazol-2-yl-κN3]-9H-carbazol-2-yl-κC]- (CA INDEX NAME)

PAGE 1-A

PAGE 2-A

CC 73-11 (Optical, Electron, and Mass Spectroscopy and Other Related Properties)

ST electroluminescent display metal complex

IT Electroluminescent devices

(displays; organic electroluminescent material, organic electroluminescent device, display, and lighting system)

IT Luminescent screens

ΙT

(electroluminescent; organic electroluminescent

material, organic electroluminescent device, display, and lighting system)

Coordination compounds

RL: TEM (Technical or engineered material use); USES (Uses)

(organic electroluminescent material, organic

(Organic exectivations) and material, Organic

electroluminescent device, display, and lighting system)

IT 1017863-81-8 1017863-84-1 1017863-87-4 1017863-90-9 1017863-93-2 1017863-96-5

1017863-99-8 1017864-02-6 1017864-05-9

1017864-08-2 1017864-11-7 1017864-14-0

1017864-17-3 1017864-20-8 1017864-23-1 1017864-26-4

1017864-29-7 1017864-33-3 1017864-37-7

1017864-41-3 1017864-45-7 1017864-48-0

1017864-51-5 1017864-54-8

RL: TEM (Technical or engineered material use); USES (Uses) (organic electroluminescent material, organic

electroluminescent device, display, and lighting system)

L13 ANSWER 15 OF 28 HCAPLUS COPYRIGHT 2010 ACS on STN

AN 2008:412485 HCAPLUS Full-text

DN 148:413921

TΙ Organic electroluminescent material, organic electroluminescent device, display, and lighting system

IN Sugino, Motoaki: Nishizeki, Masato

PA Konica Minolta Holdings, Inc., Japan

SO	Jpn. Kokai Tokkyo H CODEN: JKXXAF	Koho, 56	ipp.		
DT	Patent				
LA	Japanese				
FAN.	CNT 1				
	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
		_			
ΡI	JP 2008074921	A	20080403	JP 2006-254130	000000
					200609
דגסס	JP 2006-254130		20060920		20
EIGHT	JF 2000-234130		20000920		

OS MARPAT 148:413921

GΙ

$$\begin{bmatrix} \text{EWG} & \text{X} & \text{X$$

AB The invention refers to an organic electroluminescent material I [R1 = alkyl, cycloalkyl, alkenyl, alkynyl, aryl or heteroaryl; X = 0, S, Se, Trace element or -NR2-; R2 = alkyl, cycloalkyl, alkenyl, alkynyl, aryl or heteroaryl; Z = atoms necessary to form a 5- or 6-membered ring: X1-L1-X2 = bidentate ligand: X1,2 = C, N or O; L1 = atoms forming a bidentate ligand with X1,2; m1 = 1, 2 or 3, m2 = 0, 1 or 2, m1 + m2 = 2 or 3; m1 = group 8 - 10 metal; EWG = electron withdrawing group having Hammet  $\delta p > 0.051$ .

Т

IT 1016541-20-0

RL: TEM (Technical or engineered material use); USES (Uses) (organic electroluminescent material, organic electroluminescent device, display, and lighting system)

RN 1016541-20-0 HCAPLUS

CN Iridium, tris[6-[(dimethylamino)sulfonyl]-9-methyl-3-(5,6,7,8-tetrahydroimidazo[1,5-a]pyridin-3-yl-KN2)-9H-carbazol-2-yl-KC]- (CA INDEX NAME)

PAGE 1-A

PAGE 1-B

- CC 73-11 (Optical, Electron, and Mass Spectroscopy and Other Related Properties)
- ST electroluminescent display metal complex
- IT Electroluminescent devices

(displays; organic electroluminescent material, organic electroluminescent device, display, and lighting system)

IT Luminescent screens

(electroluminescent; organic electroluminescent material, organic electroluminescent device, display, and

```
lighting system)
ΙT
    Coordination compounds
     RL: TEM (Technical or engineered material use); USES (Uses)
        (organic electroluminescent material, organic
       electroluminescent device, display, and lighting system)
    1016541-12-0 1016541-14-2 1016541-16-4 1016541-18-6
IΤ
    1016541-20-0 1016541-21-1 1016541-23-3 1016541-24-4
    1016541-25-5 1016541-26-6 1016541-27-7 1016541-28-8
     1016541-29-9 1016541-30-2 1016541-31-3 1016541-32-4
     RL: TEM (Technical or engineered material use); USES (Uses)
        (organic electroluminescent material, organic
       electroluminescent device, display, and lighting system)
L13 ANSWER 16 OF 28 HCAPLUS COPYRIGHT 2010 ACS on STN
AN
    2008:64605 HCAPLUS Full-text
DN
    148:157356
TΙ
    Organic electroluminescent devices and display devices
IN
    Otsubo, Akihiro; Takahashi, Yoshiaki
PA
   Showa Denko K. K., Japan
SO
    Jpn. Kokai Tokkvo Koho, 42pp.
    CODEN: JKXXAF
DT
    Patent
LA
    Japanese
FAN.CNT 1
    PATENT NO.
                 KIND DATE APPLICATION NO.
                                                              DATE
     -----
                       ----
                              _____
                                         ______
PI
    JP 2008010651
                       A
                             20080117 JP 2006-179893
                                                                 200606
                                                                 29
PRAT JP 2006-179893
                               20060629
AB
     Organic EL devices include ≥1 layers containing polymers which
     contain structural units based on Ir complexes.
ΙT
     942117-33-1P
     RL: IMF (Industrial manufacture); TEM (Technical or engineered
     material use); PREP (Preparation); USES (Uses)
        (formation of polymers based on Ir complexes for
       electroluminescent devices and display devices)
    942117-33-1 HCAPLUS
RN
CN
    Iridium(1+), bis[3,5-difluoro-2-(2-pyridinyl-κN)phenyl-
     \kappaC][2-[[[4-[di(2-pyridinyl-\kappaN)amino]-3,5-
     dimethylphenoxylcarbonyllaminolethyl 2-methyl-2-propenoatel-,
     hexafluorophosphate(1-) (1:1), polymer with
    N.N-bis[4-(9H-carbazol-9-v1)phenv1]-4-(3-ethenv1-9H-carbazol-9-
     v1) benzenamine and bis(3,5-dimethyl[1,1'-biphenyl]-4-v1)(4'-ethenyl-
     3.5-dimethyl[1.1'-biphenyl]-4-vl)borane (CA INDEX NAME)
```

CM 1

CRN 934399-25-4

CMF C56 H38 N4

CM 2

CRN 856695-28-8

CMF C44 H41 B

CM 3

CRN 942117-32-0

CMF C47 H38 F4 Ir N6 O4 . F6 P

CM 4

CRN 942117-31-9

CMF C47 H38 F4 Ir N6 O4

CCI CCS

$$\begin{array}{c|c} F & & & \\ \hline \end{array}$$

CM 5

CRN 16919-18-9

CMF F6 P

CCI CCS

- CC 76-3 (Electric Phenomena)
- ST org electroluminescent display EL device; polymer iridium

complex TΤ Electroluminescent devices (organic: formation of polymers based on Ir complexes for electroluminescent devices and display devices) ΙT 941603-30-1P 941603-31-2P 941603-33-4P 942117-29-5P 942117-32-0P 1000776-61-3P 1001556-93-9P RL: IMF (Industrial manufacture); RCT (Reactant); PREP (Preparation); RACT (Reactant or reagent) (formation of polymers based on Ir complexes for electroluminescent devices and display devices) ΙT 942117-30-8P 942117-33-1P RL: IMF (Industrial manufacture); TEM (Technical or engineered material use); PREP (Preparation); USES (Uses) (formation of polymers based on Ir complexes for electroluminescent devices and display devices) 358-23-6 429-41-4, Tetra-n-butvl ammonium fluoride ΤТ 77-58-7 584-08-7, Potassium carbonate 865-47-4 1202-34-2 6336-45-4 13716-12-6, Tri(tert-butvl) phosphine 14221-01-3 17084-13-8, Potassium hexafluorophosphate 30674-80-7 149228-92-2 391611-77-1 RL: RCT (Reactant); RACT (Reactant or reagent) (formation of polymers based on Ir complexes for electroluminescent devices and display devices) 512-63-0 ΙT RL: TEM (Technical or engineered material use); USES (Uses) (formation of polymers based on Ir complexes for electroluminescent devices and display devices) L13 ANSWER 17 OF 28 HCAPLUS COPYRIGHT 2010 ACS on STN AN 2007:788261 HCAPLUS Full-text DN 147:177280 TΤ Organic electroluminescent element containing organic metal complex phosphor with dendrimeric structure, display and lighting device IN Tanaka, Tatsuo: Taka, Hideo Konica Minolta Holdings, Inc., Japan PA SO Jpn. Kokai Tokkyo Koho, 52pp. CODEN: JKXXAF DT Patent. LA Japanese

	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
PΙ	JP 2007184348	A	20070719	JP 2006-472	
					200603
					0.5

FAN.CNT 1

PRAI JP 2006-472

20060105

AB The element has, between anode and cathode, a luminescent layer containing organic metal complex phosphor having dendrimeric partial structure, and another organic metal complex phosphor without the dendrimeric structure. Display device and lighting device using the element are also claimed. The element shows high luminescent efficiency and long lifetime.

ΙT 944125-64-8

> RL: TEM (Technical or engineered material use); USES (Uses) (electroluminescent element containing organic metal complex phosphor having dendrimeric structure)

RN 944125-64-8 HCAPLUS

CN Iridium, [1-[9-[4-[9-[4-[bis[4-[3,6-bis[4-(9H-carbazol-9-vl)phenvl]-9H-carbazol-9-v1]phenv1]amino]phenv1]-6-[4-(9H-carbazol-9-v1)phenv1]-9H-carbazol-3-yl]phenyl]-9H-carbazol-3-yl]-1,3-butanedionatoκ01,κ03]bis[4,5-difluoro-2-(2-pyridinyl-κN)phenylkCl- (CA INDEX NAME)

PAGE 3-A

- CC 74-13 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)
- ST phosphor dendrimeric org metal complex electroluminescent element
- IT Electric lamps

(electroluminescent element and lighting device containing

organic metal complex phosphor having dendrimeric structure)
IT Electroluminescent devices

Phosphors

(electroluminescent element containing organic metal complex
phosphor having dendrimeric structure)

phosphor having dendrimeric structure)
IT 376367-93-0 693794-98-8 800395-01-1 944125-58-0 944125-59-1
944125-60-4 944125-61-5 944125-62-6 944125-63-7
944125-64-8 944128-60-3 944128-61-4 944128-62-5

944128-63-6 944128-64-7

RL: TEM (Technical or engineered material use); USES (Uses)
(electroluminescent element containing organic metal complex
phosphor having dendrimeric structure)

OSC.G 3 THERE ARE 3 CAPLUS RECORDS THAT CITE THIS RECORD (3 CITINGS)

- L13 ANSWER 18 OF 28 HCAPLUS COPYRIGHT 2010 ACS on STN
- AN 2007:665910 HCAPLUS Full-text
- DN 147:105578
- TI Surface-emitting organic electroluminescent devices with high color purity, their macromolecular materials, and displays therewith
- IN Otsubo, Akihiro; Takahashi, Yoshiaki
- PA Showa Denko K. K., Japan
- SO Jpn. Kokai Tokkyo Koho, 36pp.
- CODEN: JKXXAF
- DT Patent
- LA Japanese

FAN.	CNT 1 PATENT NO.	KIND	DATE	APPLICATION NO.	DATE		
PI	JP 2007153917	A	20070621	JP 2005-346588	200511		
PRAI	JP 2005-346588		20051130		30		

AB The title materials are polymers having unit derived from Ir complex I [R1-R8 = H, substituent; X1 = H, aryl, azacycle; R1-R8 and/or X1 essentially include polymerizable group; Z1, Y1 = 5- or 6-membered (hetero)cycle; Z2 = 5- or 6-membered heterocycle; L1 = single bond, bivalent bridging group; Y1 = N, C; Q1 = single bond (Y1 = N) or double bond (Y1 = C)].

Т

IT 942117-33-1P

RL: IMF (Industrial manufacture); TEM (Technical or engineered material use); PREP (Preparation); USES (Uses)

(surface-emitting organic EL devices with high color purity containing

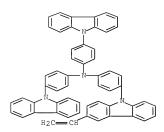
polymers with ortho-metalized complex-derived units)

RN 942117-33-1 HCAPLUS

CN Iridium(1+), bis[3,5-difluoro-2-(2-pyridinyl-kN)phenyl-kC][2-[[4-[di(2-pyridinyl-kN)amino]-3,5-dimethylphenoxy]carbonyl]amino]ethyl 2-methyl-2-propenoate]-, hexafluorophosphate(1-) (1:1), polymer with N,N-bis[4-(9H-carbazol-9-yl)phenyl]-4-(3-ethenyl-9H-carbazol-9-yl)benzenamine and bis(3,5-dimethyl[1,1'-biphenyl]-4-yl)(4'-ethenyl-3,5-dimethyl[1,1'-biphenyl]-4-yl) (2-dimethyl[1,1'-biphenyl]-4-yl)

CM 1

CRN 934399-25-4 CMF C56 H38 N4



CM 2

CRN 856695-28-8 CMF C44 H41 B

CM 3

CRN 942117-32-0

CMF C47 H38 F4 Ir N6 O4 . F6 P

CM 4

75

# 10/598,971

CRN 942117-31-9

CMF C47 H38 F4 Ir N6 O4

CCI CCS

$$\begin{array}{c|c} & & & \\ & & & \\ & & & \\ \hline \end{array}$$

CM 5

CRN 16919-18-9

CMF F6 P

CCI CCS

- CC 74-13 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes) Section cross-reference(s): 38, 73
- ST electroluminescent color purity ortho metalized iridium complex polymer; blue emitting electroluminescent polymer ortho metalized complex
- IT Electroluminescent devices

(blue-emitting; surface-emitting organic  ${\tt EL}$  devices with high

color

purity containing polymers with ortho-metalized complex-derived units)

Electroluminescent devices ΤТ

> (displays; surface-emitting organic EL devices with high color purity containing polymers with ortho-metalized complex-derived

ΙT Luminescent substances

> (electroluminescent, blue-emitting; surface-emitting organic EL devices with high color purity containing polymers with ortho-metalized complex-derived units)

TΤ Luminescent screens

> (electroluminescent; surface-emitting organic EL devices with high color purity containing polymers with ortho-metalized complex-derived units)

942117-30-8P 942117-33-1P TΤ

RL: IMF (Industrial manufacture); TEM (Technical or engineered material use); PREP (Preparation); USES (Uses)

(surface-emitting organic EL devices with high color purity

containing

polymers with ortho-metalized complex-derived units) OSC.G THERE ARE 1 CAPLUS RECORDS THAT CITE THIS RECORD (1 CITINGS)

- L13 ANSWER 19 OF 28 HCAPLUS COPYRIGHT 2010 ACS on STN
- AN 2007:621524 HCAPLUS Full-text
- 147:153628 DN
- TΙ Manufacture and application of electro-phosphorescent conjugated polymer containing polar group
- Yang, Wei; Zhang, Yong; Wang, Lei; Xu, Yunhua; Peng, Junbiao; Cao, TN Yong
- PA South China University of Technology, Peop. Rep. China
- SO Faming Zhuanli Shenging Gongkai Shuomingshu, 58pp. CODEN: CNXXEV

DT Patent

Chinese LA

FAN

PΙ

Ν.	CNT 1				
	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
	CN 1974709	A	20070606	CN 2006-10036221	
					200606

3.0

PRAT CN 2006-10036221

20060630

The title conjugated polymer comprises a conjugated structure unit AB and a metal complex on the main or side chain of the conjugated polymer. The conjugated structure unit comprises 5-95 mol.% polar group or ionic group side chain on the main chain or terminal group of the conjugated polymer. The conjugated structure unit can be p-benzene, carbazole, fluorene or p-phenylene acetylene containing substituted alkyl or alkoxyl with amino, quaternary ammonium salt group, nitrile, carboxyl, sulfonic group or phosphate group on its side chain. The conjugated polymer has the functions of high luminescence and good cathodic interface modification. The conjugated polymer can improve the quantum efficiency of metal cathode with high power function. The conjugated polymer can be used in organic/polymer luminescent device, information display and solar photovoltaic cells.

IT 943311-43-1P

RL: PRP (Properties); SPN (Synthetic preparation); TEM (Technical or engineered material use); PREP (Preparation); USES (Uses)

(manufacture and application of electro-phosphorescent conjugated polymer containing polar group)

RN 943311-43-1 HCAPLUS

CN Iridium, [14-(3,6-dibromo-9H-carbazol-9-yl)-1,1,1-trifluoro-2,4tetradecanedionato-κ02,κ04]bis[5-methyl-2-(2-pyridinylκN)phenyl-κC]-, polymer with

N,N-dimethyl-3,6-bis(4,4,5,5-tetramethyl-1,3,2-dioxaborolan-2-yl)-9H-carbazole-9-propanamine (CA INDEX NAME)

CM 1

CRN 943311-42-0 CMF C50 H47 Br2 F3 Ir N3 O2 CCI CCS

CM 2

CRN 943251-77-2 CMF C29 H42 B2 N2 O4

CC 73-5 (Optical, Electron, and Mass Spectroscopy and Other Related Properties)

Section cross-reference(s): 38

- ST phosphorescent electroluminescent device conjugated polymer iridium platinum complex manuf
- IT Luminescent substances

(electroluminescent; manufacture and application of

electro-phosphorescent conjugated polymer containing polar group)

IT Electroluminescent devices

Ink-jet printing

Phosphorescent substances

(manufacture and application of electro-phosphorescent conjugated polymer containing polar group)

- IT 123864-00-6P 138184-36-8P 502634-44-8P 502687-51-6P
  - 943251-81-8P 943251-82-9P 943251-83-0P 943251-84-1P

943251-85-2P 943311-38-4P 943311-40-8P **943311-43-1**P

RL: PRP (Properties); SPN (Synthetic preparation); TEM (Technical or engineered material use); PREP (Preparation); USES (Uses)

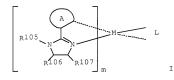
(manufacture and application of electro-phosphorescent conjugated polymer containing polar group)

- L13 ANSWER 20 OF 28 HCAPLUS COPYRIGHT 2010 ACS on STN
- AN 2007:457616 HCAPLUS Full-text
- DN 146:471831
- TI Luminescent polymer for organic electroluminescent device
- IN Takahashi, Yoshiaki; Yamaguchi, Akihiko
- PA Showa Denko K. K., Japan
- SO Jpn. Kokai Tokkyo Koho, 35pp.

CODEN: JKXXAF
DT Patent
LA Japanese

LA Japanes

F	AN.CNT 1				
	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
P.	I JP 2007106793	A	20070426	JP 2005-296339	
					200510
DI	RAI JP 2005-296339		000E1011		11
G:			20051011		



AB The invention relates to a luminescent polymer, suited for use in making a white-emitting organic electroluminescent device, comprising a polymer including a metal complex unit represented by I [M = Ir, Pt, Au, and Pd; R105 = F-containing substituted group; R106 and R107 = H, substituted group, and may be joined to form a ring; A = 5- or 6-member ring; L = monoanionic bidentate ligand containing polymerizable group; m = 1 or 2 integer; and C-C bond between R106- and R107-substituted carbons may be a single or double bond].

IT 935528-44-2P

RN

RL: SPN (Synthetic preparation); TEM (Technical or engineered material use); PREP (Preparation); USES (Uses)

(luminescent polymer for organic electroluminescent device)

935528-44-2 HCAPLUS

CN Iridium, [3-[(4-ethenylphenyl)methoxy]-2-pyridinecarboxylato-KN1,K02]bis[2-[1-(2,3,4,5,6-pentafluorophenyl)-1Himidazol-2-yl-KN3]phenyl-KC]-, polymer with N,N-bis[4-(9H-carbazol-9-yl)phenyl]-4-(3-ethenyl-9H-carbazol-9-

# 10/598,971

yl)benzenamine and 3-[4-(1,1-dimethylethyl)phenyl]-5-(4'-ethenyl[1,1'-biphenyl]-4-yl)-4-phenyl-4H-1,2,4-triazole (CA INDEX NAME)

CM 1

CRN 935528-40-8

CMF C45 H24 F10 Ir N5 O3

CCI CCS

PAGE 1-A

PAGE 2-A

CM 2

CRN 934399-25-4 CMF C56 H38 N4

CM 3

CRN 909703-02-2 CMF C32 H29 N3

$$t-Bu \xrightarrow{Ph} CH = CH2$$

- CC 73-11 (Optical, Electron, and Mass Spectroscopy and Other Related Properties)
- ST luminescent polymer org  ${\tt electroluminescent}$  device metal complex
- IT Luminescent substances (electroluminescent; luminescent polymer for organic

electroluminescent device)

Electroluminescent devices
Phosphorescent substances

TΤ

```
(luminescent polymer for organic electroluminescent
        device)
ΙT
    Coordination compounds
     RL: TEM (Technical or engineered material use); USES (Uses)
        (luminescent polymer for organic electroluminescent
        device)
    Polymers, uses
TΤ
     RL: TEM (Technical or engineered material use); USES (Uses)
        (luminescent; luminescent polymer for organic
        electroluminescent device)
     62-53-3, Benzenamine, reactions 75-36-5, Acetyl chloride
ΤТ
                                                                 98-73-7
     586-75-4 874-24-8, 3-Hydroxypicolinic acid 1075-49-6
                                                              1592-20-7
     2156-04-9
               2760-98-7 7803-57-8 13569-57-8, Iridium trichloride
     trihvdrate
                 26537-19-9 58328-31-7
                                          139092-78-7 220173-84-2
     847997-60-8
     RL: RCT (Reactant); RACT (Reactant or reagent)
        (luminescent polymer for organic electroluminescent
        device)
ΙT
    728045-11-2P 847738-92-5P 909703-02-2P 934399-23-2P
     934399-24-3P 934399-25-4P
                                 935528-37-3P 935528-38-4P
     935528-39-5P 935528-40-8P
     RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation);
     RACT (Reactant or reagent)
        (luminescent polymer for organic electroluminescent
        device)
ΤТ
     935528-41-9P
                  935528-42-0P 935528-44-2P
     935528-45-3P
     RL: SPN (Synthetic preparation); TEM (Technical or engineered
     material use); PREP (Preparation); USES (Uses)
        (luminescent polymer for organic electroluminescent
       device)
             THERE ARE 1 CAPLUS RECORDS THAT CITE THIS RECORD (1
OSC.G
        1
             CITINGS)
L13
    ANSWER 21 OF 28 HCAPLUS COPYRIGHT 2010 ACS on STN
AN
     2006:1187867 HCAPLUS Full-text
DN
    146:123243
    Photoluminescence characteristics of dendrimers containing
TΙ
     (tris(8-hydroxyquinoline)aluminum) as a core unit
    Furusake, Shinya; Maruyama, Sumio; Sasabe, Hiroyuki; Adachi, Chihaya
AU
CS
    Department of Photonics Materials Science, Chitose Institute of
     Science and Technology (CIST), 758-65 Bibi, Chitose, 066-8655, Japan
    Kobunshi Ronbunshu (2006), 63(10), 675-680
SO
    CODEN: KBRBA3; ISSN: 0386-2186
```

PB Kobunshi Gakkai

DT Journal

LA Japanese

AB We report on photoluminescence (PL) characteristics of dendrimers having (tris(8-hydroxyquinoline) aluminum) (Alq3) as a core unit. Although Alq3 derivs. are generally insol., the dendrimers were soluble in conventional organic solvents due to the presence of bulky dendron. We measured the transient PL and absolute PL efficiency of the dendrimers, dendron and AlClq3 (core unit), and we clarified the location of π-conjugation, which is an origin of PL. The PL characteristics indicated that π-conjugation in the dendrimers is localized between a dendron and a quinoline ligand. Although the PL efficiencies are .vphi.PL = 20-40% in their solid films, the EL ( electroluminescence) efficiencies are limited to .vphi.EL .apprx. 10-2%.

IT 849110-50-5

RL: MOA (Modifier or additive use); PRP (Properties); USES (Uses) (photoluminescence characteristics of dendrimers containing (tris(hydroxyquinoline)aluminum) as core unit)

RN 849110-50-5 HCAPLUS

CN Aluminum, tris[7-[2-[4-[bis[4-[2-[4-(9H-carbazol-9-y1)phenyl]ethynyl]phenyl]amino]phenyl]ethynyl]-5-chloro-8-quinolinolato-KN1,KO8]-, (OC-6-22)- (CA INDEX NAME)

PAGE 1-A



PAGE 2-A

PAGE 2-B

- CC 38-3 (Plastics Fabrication and Uses) Section cross-reference(s): 29, 73
- ST hydroxyquinoline aluminum core dendrimer photoluminescence electroluminescence
- IT Electroluminescence

Electroluminescent devices

Fluorescence

Luminescence

(photoluminescence characteristics of dendrimers containing (tris(hydroxyquinoline)aluminum) as core unit)

- IT 41584-66-1 262861-81-4 848889-57-6 **849110-50-5**
- 918151-32-3
  - RL: MOA (Modifier or additive use); PRP (Properties); USES (Uses) (photoluminescence characteristics of dendrimers containing (tris(hydroxyquinoline)aluminum) as core unit)
- L13 ANSWER 22 OF 28 HCAPLUS COPYRIGHT 2010 ACS on STN
- AN 2006:1123834 HCAPLUS Full-text
- DN 145:438768
- TI Luminescent organometallic materials containing covalently bound host carbazolyl moieties with cyclometalated luminescent dopants and organic electroluminescence display devices using the said compounds
- IN Park, Soo Jin; Shin, Dae Yup; Jung, Dong Hyun; Kwon, Tae Hyuk; Kim, Myoung Ki; Hong, Jong In
- PA Samsung SDI Co., Ltd., S. Korea; Seoul National University Industry Foundation
- SO U.S. Pat. Appl. Publ., 30 pp.
  - CODEN: USXXCO
- DT Patent
- LA English
- FAN.CNT 1

PATENT NO.	KIND	DATE	APPLICATION	NO.	DATE

PΙ	US 200	60237	715		A1		2006	1026		US	2006	-3645	21			
															2	00602
															2	7
	US 754	1100			В2		2009	0602								
	KR 611				B1		2006			KR	2005	-3308	3			
	011	000					2000	0001			2000	5500	9		2	00504
															2	
	TD 000		0.0		-		0000	1100			0000	6620			2	T
	JP 200	62989	00		A		2006	1102		JP	2006	-6639				
																00601
															1.	3
	EP 174	3900			A1		2007	0117		EΡ	2006	-1122	29			
															_	00604
															0	5
	EP 174	3900			В1		2008	0813								
	R:	AT,	BE,	BG,	CH,	CY,	CZ,	DE,	DK,	EF	, ES	, FI,	FR,	GB,	GR,	HU,
		IE,	IS,	IT,	LI,	LT,	LU,	LV,	MC,	NL	, PL	, PT,	RO,	SE,	SI,	SK,
		TR,	AL,	BA,	HR,	MK,	YU									
	CN 186	1618			A		2006	1115		CN	2006	-1007	5809			
															2	00604
															1	

PRAI KR 2005-33083 A 20050421

ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT OS CASREACT 145:438768; MARPAT 145:438768

OS CASRE AB Organ

Organometallic 2-pyridinecarboxylates with 3,5-di-9-carbazolylphenyl moieties tethered by oxyalkylene or oxyphenylene bridges, L3nM[KN,KO-R1C5H2N-2-CO2-XC6H3-3-(9-C12H6R2R3)-5-(9-C12H6R4R5)]n [1, preferably X = OCH2, OCH2-1,3-C6H3R7OCH2, OCH2C6H3R6OCH2C6H3R8OCH2; R1-R8 = H, CN, OH, SH, halo, C1-30 alkvl(oxv), C2-30 alkenvl, C2-30 (hetero)arvl(oxv) acvl and their combinations: M - Ir, Os, Pt, Pb, Re, Ru; L = bidentate ligand, preferably cyclometalated 2phenylpyridine derivative, n = 1, 2], preferably having HOMO-LUMO gaps difference of 0-400 nm for both parts of the mol., useful as an phosphorescent substances for one-component light-emitting layers with improved solubility and efficiency, were prepared by a process comprising etherification of carbazolyl-substituted aralkyl bromides BrX-3,5-C6H3(9-C12H8N)2 with 3-hydroxy-2-pyridinecarboxylic acid with subsequent ligation to metal cyclometalated complex L3-nMYn. The present embodiments relate to organometallic compds. in which the host and dopant moieties are connected to make energy transmission possible in a mol. level, improving the light-emitting efficiency, brightness, color purity and lifetime; the prepared materials have enhanced solubility, which allows the preparation of the lightemitting devices by inkjet, spin coating or other wet processes. an example, reaction of 3.5-dibromotoluene with 9H-carbazole catalyzed by 10 mol% of CuI in the presence of K3PO4 and 1,2cyclohexanediamine with subsequent bromination gave 1-(bromomethyl)-

3,5-bis(9-carbazolyl)benzene, which was etherified with [(F2PhPy)2Ir(3-hydroxy-2-pyridinecarboxylate)] [F2PhPy = 3,5-difluoro-2-(2-pyridinyl)phenyl] to yield the compound of the invention 1 (L = F2PhPy, n = 1, X = OCH2 R2-R5 = H). In another example, electroluminescent device was prepared by placing of a 200 Å thick light-emitting layer containing 12% of compound 1 (L = F2PhPy, n = 1, X = OCH2 R2-R5 = H) and 88% of CBP between 600 Å of IDE 406 hole injection layer, 300 Å thick TPD hole-transporting layer, and 50 Å BCP hole-blocking layer, 200 Å of Alq3 electron-transporting layer and LiF-doped 3000 Å Al cathode; the device exhibited excellent efficiency, driving voltage, color purity and lifetime.

IT 912815-54-4P

RL: DEV (Device component use); SPN (Synthetic preparation); PREP (Preparation); USES (Uses)

(preparation of host-dopant iridium cyclometalated phenylpyridine pyridinecarboxylate electroluminescent complexes with ether-tethered carbazolv1 groups)

RN 912815-54-4 HCAPLUS

CN Iridium, bis[4-cyano-3,5-difluoro-2-(2-pyridinyl-KN)phenyl-KC][3-[(3,5-di-9H-carbazol-9-ylphenyl)methoxy]-2-pyridinecarboxylato-KN1,KO2]- (CA INDEX NAME)

INCL 257040000; 546002000; 977939000; 313504000

- CC 29-13 (Organometallic and Organometalloidal Compounds) Section cross-reference(s): 73, 76
- ST iridium phenylpyridine tethered carbazole light emitting electroluminescent cyclometalated complex; electroluminescent cyclometalated complex covalently bound host dopant prepn process; pyridinecarboxylate iridium

phenylpyridine carbazolyl host tethered dopant electroluminescent device; metallacycle phenylpyridine pyridinecarboxylate carbazolyl electroluminescent complex device

IT Alkvl bromides

RL: RCT (Reactant); RACT (Reactant or reagent)

(aralkyl bromides; preparation of host-dopant iridium cyclometalated

phenylpyridine pyridinecarboxylate electroluminescent complexes with ether-tethered carbazolyl groups)

IT Ligands

RL: DEV (Device component use); PEP (Physical, engineering or chemical process); PYP (Physical process); RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); PROC (Process); RACT (Reactant or reagent); USES (Uses)

(bidentate, 2-phenylpyridines, complexes; preparation of host-

dopant

iridium cyclometalated phenylpyridine pyridinecarboxylate electroluminescent complexes with ether-tethered carbazolvl groups)

IT Carboxylic acids

RL: DEV (Device component use); PEP (Physical, engineering or chemical process); PYP (Physical process); RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); PROC (Process); RACT (Reactant or reagent); USES (Uses) (complexes, 2-pyridinecarboxylates; preparation of host-dopant

iridium

ΤТ

cyclometalated phenylpyridine pyridinecarboxylate electroluminescent complexes with ether-tethered carbazolv1 droups)

Metalation

(cyclometalation; preparation of host-dopant iridium cyclometalated  $% \left( 1\right) =\left( 1\right) +\left( 1\right)$ 

phenylpyridine pyridinecarboxylate electroluminescent complexes with ether-tethered carbazolyl groups)

IT Organometallic compounds

RL: DEV (Device component use); PEP (Physical, engineering or chemical process); PYP (Physical process); SPN (Synthetic preparation); PREP (Preparation); PROC (Process); USES (Uses) (electroluminescent; preparation of host-dopant iridium cyclometalated phenylpyridine pyridinecarboxylate electroluminescent complexes with ether-tethered carbazolyl groups)

IT Metallacycles

RL: DEV (Device component use); PEP (Physical, engineering or chemical process); PYP (Physical process); RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); PROC (Process); RACT

(Reactant or reagent); USES (Uses)

ΙT

IΤ

IΤ

TΤ

ΤТ

TΤ

```
(iridium: preparation of host-dopant iridium cyclometalated
       phenylpyridine pyridinecarboxylate electroluminescent
       complexes with ether-tethered carbazolyl groups)
    Heterocyclic compounds
    RL: DEV (Device component use); PEP (Physical, engineering or
    chemical process); PYP (Physical process); RCT (Reactant); SPN
    (Synthetic preparation); PREP (Preparation); PROC (Process); RACT
    (Reactant or reagent); USES (Uses)
        (nitrogen, carbazoles, complexes; preparation of host-dopant
iridium
       cyclometalated phenylpyridine pyridinecarboxylate
       electroluminescent complexes with ether-tethered
       carbazolvl groups)
    Complexation
      Electroluminescent devices
    Etherification
    Luminescence, electroluminescence
    Phosphorescent substances
        (preparation of host-dopant iridium cyclometalated phenylpyridine
       pyridinecarboxylate electroluminescent complexes with
       ether-tethered carbazolvl groups)
    2085-33-8, Aluminum, tris(8-quinolinolato)- 4733-39-5,
    Bathocuproin 58328-31-7 65181-78-4, TPD 627090-84-0, IDE 406
    RL: DEV (Device component use); USES (Uses)
       (preparation of host-dopant iridium cyclometalated phenylpyridine
       pyridinecarboxylate electroluminescent complexes with
       ether-tethered carbazolyl groups)
    912815-47-5P
    RL: DEV (Device component use); PEP (Physical, engineering or
    chemical process); PYP (Physical process); SPN (Synthetic
    preparation); PREP (Preparation); PROC (Process); USES (Uses)
        (preparation of host-dopant iridium cyclometalated phenylpyridine
       pyridinecarboxylate electroluminescent complexes with
       ether-tethered carbazolvl groups)
                   912815-53-3P
                                  912815-54-4P
    912815-52-2P
                                                 912815-55-5P
    912815-56-6P
                   912815-57-7P 912815-58-8P
    912815-59-9P
    RL: DEV (Device component use); SPN (Synthetic preparation); PREP
    (Preparation); USES (Uses)
        (preparation of host-dopant iridium cyclometalated phenylpyridine
       pyridinecarboxylate electroluminescent complexes with
       ether-tethered carbazolyl groups)
    86-74-8, 9H-Carbazole 109-04-6, 2-Bromopyridine 143-15-7,
    Dodecyl bromide 874-24-8 1611-92-3 4926-28-7 29654-55-5
    144025-03-6
    RL: RCT (Reactant); RACT (Reactant or reagent)
```

(preparation of host-dopant iridium cyclometalated phenylpyridine pyridinecarboxylate electroluminescent complexes with ether-tethered carbazolvl groups)

- IT 391250-41-2P 391604-55-0P 391611-77-1P 481694-83-1P 862379-44-0P 879628-31-6P 912815-46-4P 912815-48-6P
  - 912815-49-7P 912815-50-0P 912815-51-1P RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent)
    - (preparation of host-dopant iridium cyclometalated phenylpyridine pyridinecarboxylate electroluminescent complexes with
  - ether-tethered carbazolyl groups)
- IT 1148111-93-6P
  - RL: SPN (Synthetic preparation); PREP (Preparation)
    (preparation of host-dopant iridium cyclometalated phenylpyridine
    pyridinecarboxylate electroluminescent complexes with
    ether-tethered carbazolvl groups)
- RE.CNT 12 THERE ARE 12 CITED REFERENCES AVAILABLE FOR THIS RECORD ALL CITATIONS AVAILABLE IN THE RE FORMAT
- L13 ANSWER 23 OF 28 HCAPLUS COPYRIGHT 2010 ACS on STN
- AN 2006:854462 HCAPLUS Full-text
- DN 147:374029
- TI Monodispersed fluorescent and phosphorescent oligofluorene functionalized molecular stars: synthesis, characterization, luminescent and electroluminescent properties
- AU Liu, Qinde; Lu, Jianping; Ding, Jianfu; Day, Michael; Tao, Ye
- CS Institute for Chemical Process and Environmental Technology, National Research Council of Canada, Ottawa, ON, K1A OR6, Can.
- SO Polymer Preprints (American Chemical Society, Division of Polymer Chemistry) (2006), 47(2), 559-560 CODEN: ACPPAY: ISSN: 0032-3934
- PB American Chemical Society, Division of Polymer Chemistry
- DT Journal; (computer optical disk)
- LA English
- AB Four series of monodispersed oligofluorene functionalized stars with 4,4',4"-tris(carbazol-9-yl)phenylamine, pyrene, and cyclometalated Pt and Ir cores were prepared The length of the oligofluorene arms was 1-4 fluorene units and mol. wts. were 2323-10,190 Da. All the oligomers had good film-forming ability. The carbazolylphenylamine oligomers showed bright deep blue fluorescence, those with a pyrene core fluoresced greenish blue, both in solution and in the solid state with high quantum efficiency. The carbazolylphenylamine oligomers are efficient deep blue emitters in electroluminescent devices with power efficiency < 0.14 weight/weight at 115 cd/m2 and a better large-gap host for red phosphorescent emitters than poly(vinylcarbazole) while the pyrene oligomers display bright greenish-blue electroluminescence. The Pt and Ir oligomers are red

and green phosphorescent emitters in both the solid state and solution with metal-to-ligand charge transfer. The length of the oligofluorene arms impacts the efficiency of energy transfer from singlet to triplet state. Their use in electroluminescent devices is being studied.

IT 949912-72-5

RL: PRP (Properties); TEM (Technical or engineered material use); USES (Uses)

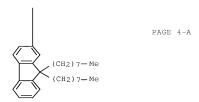
(monodispersed fluorescent and phosphorescent oligofluorene functionalized mol. stars: synthesis, characterization, luminescent and electroluminescent properties)

RN 949912-72-5 HCAPLUS CN Platinum, [N.N-bis[4-

Platinum, [N,N-bis[4-[3,6-bis(9,9-dioctyl-9H-fluoren-2-yl)-9H-carbazol-9-yl]phenyl]-4-[2-(2-pyridinyl- $\kappa$ N)-1H-benzimidazol-1-yl- $\kappa$ N3]benzenamine]diphenyl-, (SP-4-3)- (CA INDEX NAME)

PAGE 2-A

PAGE 3-A



- CC 73-11 (Optical, Electron, and Mass Spectroscopy and Other Related Properties)
  - Section cross-reference(s): 22
- ST monodispersed fluorescent phosphorescent oligofluorene functionalized mol star; LED synthesis luminescence electroluminescent property
- IΤ Electroluminescence

Electroluminescent devices

Luminescence

UV and visible spectra

(monodispersed fluorescent and phosphorescent oligofluorene functionalized mol. stars: synthesis, characterization, luminescent and electroluminescent properties)

7440-04-2, Osmium, uses ΙT

> RL: MOA (Modifier or additive use); TEM (Technical or engineered material use); USES (Uses)

(monodispersed fluorescent and phosphorescent oligofluorene functionalized mol. stars: synthesis, characterization, luminescent and electroluminescent properties)

ΙT 935778-28-2 949910-03-6

RL: PRP (Properties)

(monodispersed fluorescent and phosphorescent oligofluorene functionalized mol. stars: synthesis, characterization, luminescent and electroluminescent properties)

945255-82-3 945255-83-4 945255-84-5 949910-39-8 949910-91-2 TΤ 949911-00-6 949911-33-5 949912-11-2 949912-30-5 949912-72-5 949912-75-8

RL: PRP (Properties); TEM (Technical or engineered material use); USES (Uses)

(monodispersed fluorescent and phosphorescent oligofluorene functionalized mol. stars: synthesis, characterization, luminescent and electroluminescent properties)

- IT 2085-33-8, Aluminum tris(8-hydroxyquinolinato) 7429-90-5,
   Aluminum, uses 7789-24-4, Lithium fluoride, uses 50851-57-5
  50926-11-9, Indium tin oxide 126213-51-2, PEDOT 372956-40-6,
  1,3,5-Tris(4-fluorobiphenyl-4-yl)benzene
  - RL: TEM (Technical or engineered material use); USES (Uses) (monodispersed fluorescent and phosphorescent oligofluorene functionalized mol. stars: synthesis, characterization, luminescent and electroluminescent properties)
- OSC.G 1 THERE ARE 1 CAPLUS RECORDS THAT CITE THIS RECORD (1 CITINGS)
- RE.CNT 19 THERE ARE 19 CITED REFERENCES AVAILABLE FOR THIS RECORD ALL CITATIONS AVAILABLE IN THE RE FORMAT
- L13 ANSWER 24 OF 28 HCAPLUS COPYRIGHT 2010 ACS on STN
- AN 2005:1319148 HCAPLUS Full-text
- DN 144:204580
- TI Novel supramolecular polymers based on zinc-salen chromophores for efficient light-emitting diodes
- AU Peng, Qiang; Xie, Minggui; Huang, Yan; Lu, Zhiyun; Cao, Yong
- CS Department of Chemistry, Sichuan University, Chengdu, 610064, Peop. Rep. China
- SO Macromolecular Chemistry and Physics (2005), 206(23), 2373-2380 CODEN: MCHPES; ISSN: 1022-1352
- PB Wiley-VCH Verlag GmbH & Co. KGaA
- DT Journal
- LA English
- OS CASREACT 144:204580
- AB Supramol. polymers based on zinc-salen chromophores were readily prepared via ligand-metal coordination. These polymers were characterized by FTIR, NMR, GPC and elemental anal. All the polymers were readily soluble in common organic solvents and had substantially good thermal properties. Cyclic voltammetry revealed they had LUMO energy levels ranging from -3.20 to -3.23 eV and HOMO energy levels ranging from -6.13 to -6.15 eV. The polymer films can emit strong green photoluminescence (PL) with relatively high quantum efficiencies of 42-51%. Light-emitting diodes with the configuration ITO/PEDOT/polymer/BCP/Alq3/LiF/Al were efficient green emitters, with maximum current efficiencies of 0.9-2.3 cd A-1. The preliminary EL results thus suggest that these polymers are potential candidates for efficient green emission in polymer LEDs.
- IT 875432-46-5P
  - RL: CPS (Chemical process); DEV (Device component use); PEP (Physical, engineering or chemical process); PRP (Properties); SPN (Synthetic preparation); PREP (Preparation); PROC (Process); USES (Uses)

(polymeric; preparation, electrochem. and luminescence properties

of

zinc-salen coordination polymers for green light-emitting diodes)

- RN 875432-46-5 HCAPLUS
- CN Zinc, [4-[9-(2-ethylhexyl)-6-[3-[(hexylimino)methyl]-4-

hydroxyphenyl]-9H-carbazol-3-yl]-2-[(hexylimino-

κN) methyl]phenolato(2-)-κO]- (CA INDEX NAME)

CC 78-7 (Inorganic Chemicals and Reactions)

Section cross-reference(s): 72, 73

IT Electroluminescent devices

(green-emitting; preparation, electrochem. and luminescence properties  $% \left( 1\right) =\left( 1\right) +\left( 1\right) +\left($ 

- of zinc-salen coordination polymers for green light-emitting diodes)
- IT 875432-45-4P 875432-46-5P 875432-47-6P

RL: CPS (Chemical process); DEV (Device component use); PEP (Physical, engineering or chemical process); PRP (Properties); SPN (Synthetic preparation); PREP (Preparation); PROC (Process); USES (Uses)

(polymeric; preparation, electrochem, and luminescence properties

οf

zinc-salen coordination polymers for green light-emitting diodes)

OSC.G 5 THERE ARE 5 CAPLUS RECORDS THAT CITE THIS RECORD (5

CITINGS)

RE.CNT 65 THERE ARE 65 CITED REFERENCES AVAILABLE FOR THIS RECORD ALL CITATIONS AVAILABLE IN THE RE FORMAT

- L13 ANSWER 25 OF 28 HCAPLUS COPYRIGHT 2010 ACS on STN
- AN 2005:1168852 HCAPLUS Full-text
- DN 143:448990
- TI Phosphorescent polymer and production process thereof, organic electroluminescence device, and metal complex-containing compound and production process thereof
- IN Yasuda, Hiroyuki; Oh, Hyunshik; Shiraki, Shinji
- PA Jsr Corporation, Japan

SO Eur. Pat. Appl., 81 pp.

CODEN: EPXXDW

DT Patent

LA FAN.																		
	PATENT NO.							APPLICATION NO.							ATE			
PI	EP	EP 1591511				20051102			EP	2	2005-9218					00504 7		
			PT, PL,	IE, SK,	SI, BA,	LT, HR,	LV,		RO,	MK,	C.	Υ,	AL,	TR,	BG,			
	JP	2005	31450	05		A		2005	1110		JP	2	004-	1325	08		_	00404
	JP	2005	3250	48		A		2005	1124		JP	2	004-1	1436	06			00405
	JP	4333	473			В2		2009	0916								_	9
	JP	2005	3504:	14		A		2005	1222		JP	2	004-	1743	72		_	00406
	JP	2005	3504:	15		A		2005	1222		JP	2	004-	1743	73			00406
	JP	4296	995			В2		2009	0715									
	US	2005	0244	674		A1		2005	1103		US	2	005-	1140	01			00504
	KR	2006	0458	56		A		2006	0517		KR	2	005-3	3565	8		_	00504
PRAI	JP	2004	-132	508		A		2004	0428									
	JP	2004	-143															
		2004						2004										
	JP	2004	-1743	373		A		2004	0611									

ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT MARPAT 143:448990 OS

AB Phosphorescent polymers are described which comprise a metal complexcontaining group having a phenylpyridine structure bonded to a main chain containing an aromatic compound group. Methods for producing the phosphorescent polymers are described which entail reacting a metal complex-containing compound having 2 reactive functional groups with an aromatic compound having 2 reactive functional groups in the presence of a catalyst. Organic electroluminescent devices are also

described which comprise a luminescent layer formed by a phosphorescent polymer. Metal complex-containing compds. and their production are also described.

IT 868528-29-4P

RL: DEV (Device component use); IMF (Industrial manufacture); PREP (Preparation); USES (Uses)

 $\begin{tabular}{ll} \begin{tabular}{ll} \beg$ 

and their production and metal complexes and their production and organic

electroluminescent devices using the polymers)

RN 868528-29-4 HCAPLUS

CN Iridium, [µ-[(2,7-dibromo-9H-fluoren-9-ylidene)bis[4,1-butanediyloxy[6-(2-pyridinyl-кN)-3,1-phenylenekC]]]]tetrakis[2-(2-pyridinyl-kN)phenyl-kC]di-,
polymer with 9-(4-aminophenyl)-N,N'-bis(2-methylphenyl)-N,N'diphenyl-9H-carbazole-3,6-diamine and 1,1'-oxybis[4-bromobenzene]
(9C1) (CA INDEX NAME)

CM 1

CRN 868528-28-3 CMF C44 H36 N4

CM 2

CRN 868528-23-8

CMF C87 H68 Br2 Ir2 N6 O2

CCI CCS

CM 3

CRN 2050-47-7

CMF C12 H8 Br2 O

```
IC
     ICM C09K011-06
```

ICS C08G061-10; H01L051-30; C07F015-00

CC 73-5 (Optical, Electron, and Mass Spectroscopy and Other Related Properties)

Section cross-reference(s): 38, 76

metal complex side group phosphorescent polymer org ST electroluminescent device

Electroluminescent devices ΙT

(organic; phosphorescent polymers with metal- complex-containing

side

groups and their production and metal complexes and their production and

organic electroluminescent devices using the polymers)

ΙT Phosphorescent substances

(phosphorescent polymers with metal- complex-containing side

groups

and their production and metal complexes and their production and organic

electroluminescent devices using the polymers)

ΙT 868528-26-1P 868528-27-2P 868528-29-4P

> RL: DEV (Device component use); IMF (Industrial manufacture); PREP (Preparation); USES (Uses)

(phosphorescent polymers with metal- complex-containing side

groups

and their production and metal complexes and their production and organic

electroluminescent devices using the polymers)

ΤТ 868528-17-0P 868528-18-1P 868528-19-2P 868528-20-5P

868528-21-6P 868528-22-7P 868528-23-8P 868528-24-9P

RL: IMF (Industrial manufacture): RCT (Reactant): PREP (Preparation); RACT (Reactant or reagent)

(phosphorescent polymers with metal- complex-containing side

groups

and their production and metal complexes and their production and organic

electroluminescent devices using the polymers)

98-80-6, Phenylboronic acid 106-37-6, 1,4-Dibromobenzene ΙT

TΤ

AN

PA SO

DT

LA

```
108-88-3, Toluene, reactions 109-04-6, 2-Bromopyridine 110-52-1,
     1,4-Dibromobutane 6602-32-0, 2-Bromo-3-hydroxypyridine
     6825-20-3, 3,6-Dibromocarbazole 7726-95-6, Bromine, reactions
     14348-75-5, 2,7-Dibromofluorenone 16433-88-8, 2,7-Dibromofluorene
     36603-49-3 61676-62-8, 2-Isopropoxy-4,4,5,5-tetramethyl-1,3,2-
    dioxaborolane 122775-35-3, 3,4-Dimethoxyphenylboronic acid
     330649-80-4 337526-85-9
     RL: RCT (Reactant); RACT (Reactant or reagent)
        (phosphorescent polymers with metal- complex-containing side
groups
       and their production and metal complexes and their production and
organic
       electroluminescent devices using the polymers)
     51035-40-6P 63996-36-1P 109306-86-7P 373502-69-3P
     868266-33-5P 868266-34-6P 868266-35-7P 868266-36-8P
     868266-37-9P 868266-38-0P 868266-39-1P 868266-40-4P
    868266-41-5P 868266-42-6P 868266-43-7P 868266-44-8P
     868266-45-9P 868266-46-0P 868266-47-1P 868266-48-2P
    868266-49-3P
    RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation);
     RACT (Reactant or reagent)
        (phosphorescent polymers with metal- complex-containing side
groups
       and their production and metal complexes and their production and
organic
       electroluminescent devices using the polymers)
           THERE ARE 3 CAPLUS RECORDS THAT CITE THIS RECORD (7
OSC.G
             CITINGS)
L13 ANSWER 26 OF 28 HCAPLUS COPYRIGHT 2010 ACS on STN
    2005:1130746 HCAPLUS Full-text
DN
    143:413207
TT
    Organic electroluminescent device material, organic
    electroluminescent device, display and illuminating device
    Oshivama, Tomohiro: Katoh, Eisaku: Kita, Hiroshi: Oi, Shuichi:
IN
    Inoue, Yoshio
    Konica Minolta Holdings, Inc., Japan
    PCT Int. Appl., 64 pp.
    CODEN: PIXXD2
    Patent
    Japanese
FAN.CNT 1
                      KIND
     PATENT NO.
                             DATE
                                     APPLICATION NO.
                                                               DATE
                       ____
PI WO 2005097942 A1 20051020 WO 2005-JP4682
                                                                200503
```

16

```
W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MX, NA, NI, NO, NZ, OM, FG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SM, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW

RW: BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GR, GQ, GW, ML, MR, NE, SN, TD, TG

PRAI JP 2004-103251

A 20040331
```

Ι

AB Disclosed is an organic electroluminescent device material which is characterized by containing a platinum complex represented by the general formula I, wherein a nitrogen-containing group is introduced at the 4-position of a Ph pyridine, which is a ligand of the platinum complex, and a specific substituent is further introduced at a specific position thereof. In the above formula, R1, R2, R3, R4, R5, R6 and R7 resp. represent a hydrogen atom or a substituent, and at least one of R1, R2, R3 and R4 represents an electron-donating group. Also disclosed are an organic EL device, illuminating device and display using such an organic electroluminescent device material.

T 867044-90-4

RL: DEV (Device component use); MOA (Modifier or additive use); USES (Uses)

(organic electroluminescent device material, organic electroluminescent device, display and illuminating

device)

RN 867044-90-4 HCAPLUS

CN Platinum, bis[3-(9H-carbazol-9-yl)-2-[4-(dimethylamino)-2-pyridinyl-  $\kappa$ N]-6-(trifluoromethyl)phenyl- $\kappa$ C]- (9CI) (CA INDEX NAME)

IC ICM C09K011-06

ΙT

ICS H05B033-14; H05B033-22

CC 73-5 (Optical, Electron, and Mass Spectroscopy and Other Related Properties)

Section cross-reference(s): 78

ST org electroluminescent device material display illuminating

IT Luminescent substances

(electroluminescent; organic electroluminescent device material, organic electroluminescent device, display and illuminating device)

Electroluminescent devices

(organic electroluminescent device material, organic electroluminescent device, display and illuminating device)

IT 2085-33-8, Alq3 4733-39-5, BCP 58328-31-7, CBP 123847-85-8,  $\alpha$ -NPD 867044-67-5 867044-80-2

RL: DEV (Device component use); USES (Uses)

(organic electroluminescent device material, organic electroluminescent device, display and illuminating device)

IT 867044-65-3 867044-66-4 867044-68-6 867044-69-7 867044-70-0

#### 10/598,971

AN

TT

IN

PA SO

PΙ

```
867044-71-1 867044-72-2 867044-73-3 867044-74-4 867044-75-5
     867044-76-6 867044-77-7 867044-78-8 867044-79-9 867044-81-3
     867044 - 82 - 4 \qquad 867044 - 83 - 5 \qquad 867044 - 84 - 6 \qquad 867044 - 85 - 7 \qquad 867044 - 86 - 8 
     867044-88-0 867044-89-1 867044-90-4 867044-91-5
    867044-92-6 867044-93-7 867044-94-8
     RL: DEV (Device component use); MOA (Modifier or additive use); USES
     (Uses)
        (organic electroluminescent device material, organic
        electroluminescent device, display and illuminating
        device)
RE.CNT 13
             THERE ARE 13 CITED REFERENCES AVAILABLE FOR THIS RECORD
             ALL CITATIONS AVAILABLE IN THE RE FORMAT
1.13
    ANSWER 27 OF 28 HCAPLUS COPYRIGHT 2010 ACS on STN
    2005:1130744 HCAPLUS Full-text
DN
    143:413279
    Organic electroluminescent device material, organic
    electroluminescent device and display and illuminating
    device
    Oshiyama, Tomohiro; Suzuri, Yoshiyuki; Kita, Hiroshi; Katoh, Eisaku
    Konica Minolta Holdings, Inc., Japan
    PCT Int. Appl., 68 pp.
    CODEN: PIXXD2
    Patent
DT
LA
    Japanese
FAN.CNT 1
    PATENT NO.
                       KIND DATE
                                       APPLICATION NO.
                        ____
                               -----
   WO 2005097940
                        A1 20051020 WO 2005-JP4678
                                                                   200503
                                                                   16
             AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA,
             CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI,
             GB. GD. GE. GH. GM. HR. HU. ID. IL. IN. IS. JP. KE. KG. KP.
             KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW,
             MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD,
             SE, SG, SK, SL, SM, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US,
             UZ. VC. VN. YU. ZA. ZM. ZW
        RW: BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW,
             AM, AZ, BY, KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ,
             DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, MC,
             NL, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA,
             GN, GQ, GW, ML, MR, NE, SN, TD, TG
                        A1 20061213 EP 2005-720929
    EP 1731584
                                                                   200503
                                                                   16
```

R: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LI, LT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR US 20070196687 A1 20070823 US 2006-598971

200609 15

PRAI JP 2004-103247 A 20040331 WO 2005-JP4678 W 20050316

ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT



- AB Disclosed is an organic electroluminescent device material which is a metal complex having a specific ligand. Also disclosed is an organic electroluminescent device using such an organic electroluminescent device material and having high luminous efficiency and long life. Further disclosed are a display and an illuminating device resp. using such an organic electroluminescent device. The organic electroluminescent device material is characterized by containing a metal complex having a ligand represented by the following general formula I.
- IT 867000-99-5

RL: DEV (Device component use); MOA (Modifier or additive use); USES (Uses)

(organic electroluminescent device material, organic electroluminescent device and display and illuminating device)

- RN 867000-99-5 HCAPLUS
- CN Iridium, tris(6,8-dicyano-5-ethyl-5H-pyrido[3,2-b]indol-9-yl-KC9,KN1)- (9CI) (CA INDEX NAME)

- IC ICM C09K011-06
  - ICS H05B033-14: H05B033-22
- CC 73-11 (Optical, Electron, and Mass Spectroscopy and Other Related Properties)
  - Section cross-reference(s): 22, 74
- ST org electroluminescent device material display illuminating
- IT Luminescent substances
  - Optical imaging devices
    - (organic electroluminescent device material, organic electroluminescent device and display and illuminating device)
- II Electroluminescent devices
  - (organic; organic electroluminescent device material, organic electroluminescent device and display and illuminating device)

RL: DEV (Device component use); USES (Uses)

(organic electroluminescent device material, organic electroluminescent device and display and illuminating device)

TT 867000-82-6 867000-83-7 867000-84-8 867000-85-9 867000-88-2 867000-89-3 867000-90-6 867000-91-7 867000-92-8 867000-94-0 867000-95-1 867000-96-2 867000-97-3 867000-98-4 867000-99-5 867001-00-1 867001-01-2 867001-02-3 867001-04-5 867001-05-6 867001-06-7 867001-07-8 867001-08-9 867001-09-0 867001-11-4 **867001-12-5** 867001-13-6 867001-14-7 867001-15-8 867001-17-0 867001-19-2 867001-21-6 867001-23-8

(Uses)

OSC.G

AN

DN

RE.CNT 2

device)

142:363421

CITINGS)

2005:275729 HCAPLUS Full-text

electroluminescent devices using them

RL: DEV (Device component use); MOA (Modifier or additive use); USES

THERE ARE 1 CAPLUS RECORDS THAT CITE THIS RECORD (1

THERE ARE 2 CITED REFERENCES AVAILABLE FOR THIS RECORD

(organic electroluminescent device material, organic electroluminescent device and display and illuminating

ALL CITATIONS AVAILABLE IN THE RE FORMAT

Amorphous metal complex dendrimers and thin-film organic

L13 ANSWER 28 OF 28 HCAPLUS COPYRIGHT 2010 ACS on STN

```
TN
    Maruvama, Sumio; Kawanishi, Yuji
PΑ
    National Institute of Advanced Industrial Science and Technology,
    Jpn. Kokai Tokkyo Koho, 11 pp.
SO
    CODEN: JKXXAF
    Patent
DT
LA
    Japanese
FAN.CNT 1
    PATENT NO.
                                         APPLICATION NO.
                       KIND DATE
                                                                DATE
    JP 2005082580
                       A 20050331 JP 2003-319858
PΤ
                                                                 200309
                                                                 11
    JP 4210754 B2 20090121
PRAI JP 2003-319858
                              20030911
OS
   MARPAT 142:363421
AB
    The dendrimers are tris[bis[(N-
     carbazoyl)phenylethynylphenyl]aminophenylethynylhaloquinolinolato]me
     tals with C1-8 alkyl substituents and metals selected from Al. Zn.
     Be, Ge, Mg. The dendrimers are capable of forming films by wet
     process, e.g., coating, because of good solvent solubility
ΙT
     849110-50-5P
     RL: DEV (Device component use); IMF (Industrial manufacture); TEM
     (Technical or engineered material use); PREP (Preparation); USES
     (Uses)
        (amorphous tris[bis[(N-
        carbazoy1)phenylethynylphenyl|aminophenylethynylhaloguinolinolato
        ]metals for thin-film organic electroluminescent devices)
    849110-50-5 HCAPLUS
RN
CN
    Aluminum, tris[7-[2-[4-[bis[4-[2-[4-(9H-carbazol-9-
```

v1)phenv1]ethvnv1]phenv1]amino]phenv1]ethvnv1]-5-chloro-8-

quinolinolato- $\kappa$ N1, $\kappa$ O8]-, (OC-6-22)- (CA INDEX NAME)

PAGE 1-A

PAGE 2-B

PAGE 3-A

IC ICM C07D401-14

ICS H05B033-14; C07F005-06

CC 73-11 (Optical, Electron, and Mass Spectroscopy and Other Related Properties)

Section cross-reference(s): 78

ST amorphous carbazolyl phenylethynylphenyl aminophenylethynyl quinolinolato metal org electroluminescent device; solvent solv electroluminescent carbazolyl phenylethynylphenyl aminophenylethynyl quinolinolato metal; metal complex dendrimer org electroluminescent device

II Electroluminescent devices

(amorphous tris[bis[(N-

carbazoy1)phenylethynylphenyl]aminophenylethynylhaloquinolinolato
]metals for thin-film organic electroluminescent devices)

```
IΤ
    Luminescent substances
        (electroluminescent; amorphous
        tris[bis[(N-carbazovl)phenylethynylphenyl]aminophenylethynylhalog
       uinolinolatolmetals for thin-film organic electroluminescent
       devices)
ΙT
    849110-50-5P
     RL: DEV (Device component use); IMF (Industrial manufacture); TEM
     (Technical or engineered material use); PREP (Preparation); USES
        (amorphous tris[bis[(N-
       carbazov1)phenylethynylphenyllaminophenylethynylhaloguinolinolato
        |metals for thin-film organic electroluminescent devices)
ΙT
     7439-95-4D, Magnesium, tris[bis[(N-
     carbazovl)phenylethynylphenyllaminophenylethynyllhaloguinolinolatol
                7440-41-7D, Bervllium,
     tris[bis[(N-carbazovl)phenvlethynylphenvl]aminophenylethynyl]halogui
     nolinolatol complexes 7440-56-4D, Germanium,
     tris[bis[(N-carbazovl)phenylethynylphenyl]aminophenylethynyl]halogui
     nolinolatol complexes
                            7440-66-6D, Zinc,
     tris[bis[(N-carbazovl)phenylethynylphenyl]aminophenylethynyl]halogui
     nolinolatol complexes
     RL: DEV (Device component use); TEM (Technical or engineered
     material use); USES (Uses)
        (amorphous tris[bis[(N-
        carbazoyl)phenylethynylphenyl]aminophenylethynylhaloguinolinolato
        ]metals for thin-film organic electroluminescent devices)
ΤТ
     848601-43-4P 848601-44-5P 848601-45-6P
     RL: IMF (Industrial manufacture); RCT (Reactant); PREP
     (Preparation); RACT (Reactant or reagent)
        (amorphous tris[bis[(N-
        carbazovl)phenylethynylphenyllaminophenylethynylhaloguinolinolato
        |metals for thin-film organic electroluminescent devices)
TТ
     4181-20-8, Tris(4-iodophenylamine) 262861-81-4 691896-89-6
     RL: RCT (Reactant); RACT (Reactant or reagent)
        (amorphous tris[bis[(N-
        carbazovl)phenylethynylphenyllaminophenylethynylhaloguinolinolato
        Imetals for thin-film organic electroluminescent devices)
```

=>

112